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# Learning to Communicate: Deep Learning based solutions for the Physical Layer of Communications [LeanCom]

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## **Opportunities for DL in PHY Comms**

### Why Deep Learning for comms:

- Address mathematically non-tractable problems
- Learning-based approaches to reduce complexity of known signal processing solutions

### **Comms particular challenges:**

- Need new comms-oriented NN loss-functions / architectures
- Limited online training
- NN complexity need lightweight and hardware-friendly NNs

### **Opportunities in the Comms domain**:

- Good model-based solutions exist good starting points
- Develop hybrid model-based + data-driven approaches



## LeanCom Overview





**comm**neť

HUAWEI

NFC

NEC Technologies

Diaita

CATAPI



- 1. Establish a DL framework specifically tailored for wireless communications,
- 2. PHY layer transceiver designs based on NN training and optimisation mathematically complex communication scenarios,
- 3. Address low-cost, low-specification devices by hardware-efficient DL-based transceivers,

Neural-Network (NN)

**Based Transceivers** 

4. Demonstrate DL-inspired communications by proof of concept experiments.



Engineering and Physical Sciences Research Council

Duration: Oct 2019 – Sep 2022, Value: £860k





## Outline

- Technical Highlights Application examples
  - Deep Learning for CSI relaxation
  - DL-Comms with Hardware-Friendly Neural Networks
  - DL for Radar-Assisted Vehicular Networks
  - DL for Fixed Wireless Access
  - Joint Precoding and CSI sparsification
- Further Opportunities for DL in Communications
  - Net-Zero Energy Communications
  - Integrated Sensing and Communications



# From CSI based to Location based Data-Driven Transmission



### RIS aided MEC: Channel-Information based $\rightarrow$ location based



X. Hu, C. Masouros, K. K. Wong, "Reconfigurable Intelligent Surface Aided Mobile Edge Computing: From Optimization-Based to Location-Only Learning-Based Solutions", IEEE Trans Comms, vol. 69, no. 6, pp. 3709-3725, June 2021

### **Problem Set-up**



 $\underset{(x_n,y_n,0)}{\text{UE }n}$ 

 $(x_s+D,D)$ 

 $(x_{s}+D,0)$ 

 $(x_s, 0)$ 

 $\boldsymbol{w}_n$ 

 $(0, y_{AP}, I)$ 

- Total energy available at the *n* -th UE:  $E_n$
- Energy for computation offloading  $a_n E_n \rfloor$   $p_n = \frac{a_n E_n}{T} \triangleq a_n \widetilde{E}_n$
- RIS coefficient matrix:  $(\Phi \neq \text{diag}\{\phi\})$ 
  - $\blacktriangleright \phi = [\phi_1, \dots, \phi_K]^{\mathrm{T}}$  with phase shifts  $\phi_k = e^{j\theta_k}$
- Estimated signal:  $\widehat{s}_n = \mathbf{w}_n^{\mathrm{H}} \mathbf{y} = \mathbf{w}_n^{\mathrm{H}} \sum_{i=1}^{N} (\mathbf{H}_{\mathrm{AP}} \mathbf{\Phi} \mathbf{h}_{\mathrm{r},n} + \mathbf{h}_{\mathrm{d},n}) \sqrt{p_n} s_n + \mathbf{w}_n^{\mathrm{H}} \mathbf{n}, \ \forall n \in \mathcal{N}$
- Completed task bits (CTB) with offloading:

$$R_n^{\text{off}}(\mathbf{a}, \mathbf{w}_n, \boldsymbol{\phi}) = BT \log_2(1 + \gamma_n(\mathbf{a}, \mathbf{w}_n, \boldsymbol{\phi})), \ \forall n \in \mathcal{N} \text{ with } \gamma_n(\mathbf{a}, \mathbf{w}_n, \boldsymbol{\phi}) = \frac{a_n \widetilde{E}_n |\mathbf{w}_n^{\text{H}}(\mathbf{H}_{\text{AP}} \boldsymbol{\Phi} \mathbf{h}_{\text{r},n} + \mathbf{h}_{\text{d},n})|^2}{\sum_{i=1, i \neq n}^N a_i \widetilde{E}_i |\mathbf{w}_n^{\text{H}}(\mathbf{H}_{\text{AP}} \boldsymbol{\Phi} \mathbf{h}_{\text{r},i} + \mathbf{h}_{\text{d},i})|^2 + \sigma^2 ||\mathbf{w}_n^{\text{H}}||^2}$$

Energy for local computing:  $(1 - a_n)E_n \operatorname{\mathsf{J}} = (1 - a_n)E_n = T\kappa_n f_n^3$ 

CTB with local computing:  $R_n^{\text{loc}}(a_n) = \frac{f_n T}{C_n} = \frac{T}{C_n} \sqrt[3]{\frac{(1-a_n)\widetilde{E}_n}{\kappa_n}}, \forall n \in \mathcal{N}$   $\forall n \in \mathcal{N}$   $C_n$ : Effective capacitance coefficient



### Total CTB maximization problem

(P0)  $(\max_{\mathbf{a}, \mathbf{W}, \boldsymbol{\phi}}) \sum_{n=1}^{N} \left( R_n^{\text{off}}(\mathbf{a}, \mathbf{w}_n, \boldsymbol{\phi}) + R_n^{\text{loc}}(a_n) \right)$ s.t.  $a_n \in [0,1], \forall n \in \mathcal{N},$  $|\phi_n| = 1, \ \forall n \in \mathcal{N},$ 

- Non-convex optimization problem Block coordinate descending (BCD)  $\succ$  RIS reflecting coefficients design  $(\Phi)$ Receive beamforming design(w) Energy partition optimization  $(\mathbf{a})$
- Sloved by breaking into sub-problems for the optimization of  $a, W, \phi$
- W can be obtained in closed form for given  $a, \phi$
- Solved with Alternating Optimization and Block Coordinate Descend (BCD)
- BCD optimization algorithm Effective solution with guaranteed convergence. High computational complexity:  $O(L(L_1K^6 + L_3N^{3.5}))$  *N* users, *K* RIS elements Online implementations Reduce the computational complexity 'Offline Training: Emulating the BCD algorithm! Learning with DNNs! Online Inference: With significantly reduced complexity!

### Learning-Based Approach:



### Using full CSI



Using Location-only



with strong LoS direct links between UEs and AP.



Fig. 5: The architecture for obtaining the solutions of  $\{\varphi,a,W\}$  with the CSI-based DNN-CSI.



Fig. 7: The architecture for obtaining the solutions of  $\{\phi,a,W\}$  with the location-only DNN-Loc1 and DNN-Loc2.

- Removing pilot channel estimation and feedback
- Easier to implement with further reduced complexity



### **Robustness and Complexity Reduction**

- Practical cases with input feature uncertainty
  - ▶ CSI-based DNN:  $\mathbf{\hat{x}} = \mathbf{x} + \triangle \mathbf{x}$ , where  $\triangle \mathbf{x} \sim \mathcal{N}(0, \sigma_{\triangle \mathbf{x}}^2)$
  - ► Location-only DNNs: $\mathbf{\hat{z}} = \mathbf{z} + \triangle \mathbf{z}$ , where  $\triangle \mathbf{z} \sim \mathcal{N}(0, \sigma_{\triangle \mathbf{z}}^2)$

How much complexity can be reduced through deep learning methods?

Parameter	DNN-CSI	DNN-Loc1	DNN-Loc2
Trainable parameters	1,632,288	702,208	186,304
Training samples	$(\mathbf{x}, \mathbf{y})$	$(\mathbf{z}, \mathbf{y}_1)$	$(\mathbf{z}, \mathbf{y}_2)$
Training time	5.7426 h	3.3504 h	1.3979 h
Testing time	<u>0.3883 s</u>	0.2418 s	0.1025 s
Average inference time	38.83 µs	24.18 μs	$10.25 \ \mu s$
Training samples	$(\hat{\mathbf{x}}, \mathbf{y})$	$(\hat{\mathbf{z}},\mathbf{y}_1)$	$(\hat{\mathbf{z}},\mathbf{y}_2)$
Training time	6.0345 h	3.5123 h	1.4862 h
Testing time	<u>0.4015 s</u>	<u>0.2568 s</u>	0.1156s
Average inference time	40.15 μs	25.68 μs	11.56 μs
Average BCD Running Time		28.7 s	

TABLE V PROCESSING TIME OF THE PROPOSED ALGORITHMS



- Running time reduced to 1/10<sup>6</sup> of BCD;
- Location-only DL is more lightweight;
- Uncertainty increases complexity;

### **Simulation Results**



Scenario (b) with strong LoS direct links



#### Scenario (a) without LoS direct links

- Significant performance improvement of BCD vs benchmarks;
- ♦ A close match between the BCD algorithm and the CSI-based learning method;
- Location-only learning method can achieve excellent performance when strong LoS direct links are available;
- High robustness and generalizability;



# **Hardware Friendly NNs for MIMO**



### **1. Deep Learning for Multi-antenna detection**







### **Complexity-scalable NNs for Multi-antenna detection**



- Scaling of 'non-significant' weights to reduce dimension/complexity of NNs
- Close to optimal performance with less than 50% of weights



A. Mohammad, C. Masouros, I. Andreopoulos, "**Complexity-Scalable Neural Network Based MIMO Detection With Learnable Weight Scaling**", IEEE Trans. Comms., vol. 68, no. 10, pp. 6101-6113, Oct. 2020



### 2. Deep Learning for Multi-antenna precoding





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# Symbol Level Precoding (SLP)



C. Masouros, M. Sellathurai, T. Ratnarajah, "Vector Perturbation Based on Symbol Scaling for Limited Feedback MIMO Downlinks", IEEE Trans. Sig. Proc., vol. 62, no. 3, pp. 562-571, Feb.1, 2014

C. Masouros and G. Zheng, "Exploiting Known Interference as Green Signal Power for Downlink Beamforming Optimization", IEEE Trans. Sig. Proc., vol.63, no.14, pp.3668-3680, July, 2015



# **Data-Driven Based SLP (SLP-DNet)**



A. Mohammad, C. Masouros, I. Andreopoulos, "A Memory-Efficient Learning Framework for Symbol Level Precoding with Quantized NN Weights", IEEE Trans. Comms., under review



## **Data-Driven Based CI – NN Quantization**

### Quantized NN weights

Binary (SLP-DBNet): 
$$\mathbf{B}_w = sign(\mathbf{W}) = \begin{cases} +1 & \text{if } \mathbf{W} \ge 0 \\ -1 & \text{otherwise,} \end{cases}$$

Ternary (SLP-DQNet):

$$\mathbf{B}_{\mathbf{w}}^{*} = \begin{cases} +1 & \text{, if } \mathbf{W} > \delta \\ 0 & \text{, if } |\mathbf{W}| \le \delta \\ -1 & \text{, if } \mathbf{W} < -\delta, \end{cases}$$

Memory consumption Complexity Hardware Friendly

Stochastic quantization (SLP-DQNet):  $\mathbf{B}_w = \begin{cases} +1 & \text{with probability } p = \rho(\mathbf{W}) \\ -1 & \text{with probability } 1 - p, \end{cases}$ 





## Numerical Results – PSK, Quantization Ratio: 50% of NN weights



- Data-driven (SLP-DNet) performance very close to optimal SLP.
- Some losses with Quantization, less so with Stochastic Quantization.
- Reduction down to 40% complexity per optimization.



# **Radar-assisted Vehicular Network**

## Efficient use of spectrum Integrated Sensing and Communications (ISAC)

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### **Comms-based Beam Training**



RSU transmits with different AoA, to scan the angular interval of interest
User 1) measures signal strength and 2) feeds back the SNR / beam index
RSU identifies a narrower angular interval and scans with narrow beams
User 1) measures signal strength and 2) feeds back the SNR / beam index

A. Alkhateeb, O. El Ayach, G. Leus, and R. W. Heath, "Channel estimation and hybrid precoding for millimeter wave cellular systems," IEEE J. Sel. Topics Signal Process., vol. 8, no. 5, pp. 831–846, 2014



### Comms served by Sensing: Radar tracking or Comms beam-steering



### **Advantages of DFRC Signalling:**

- No dedicated downlink pilots are needed;
- No uplink feedback is needed;
  - No feedback overhead/errors
  - No quantization errors
- The whole downlink frame can be used for tracking
- Significant matched-filtering gain over conventional beam tracking
- Assumptions: LoS channel, straight road, parallel mMIMO antenna arrays AoA equals to AoD
- Separate Rx array, inc RF isolator





F. Liu, W. Yuan, C. Masouros and J. Yuan, "Radar-Assisted Predictive Beamforming for Vehicular Links: Communication Served by Sensing", IEEE Trans. Wireless Commun., vol. 19, no 11, pp. 7704-7719, Nov. 2020





W. Yuan, F. Liu, C. Masouros, J. Yuan, D. W. K. Ng, N. Prelcic, "Bayesian Predictive Beamforming for Vehicular Networks: A Low-Overhead Joint Radar-Communication Approach", IEEE Trans. Wireless Comms., vol. 20, no. 3, pp. 1442-1456, March 2021

## **Numerical Results - DFRC vs Comms only**



- EKF-Comms-only: poor angle estimation at RSU crossing point suffering data rate
- Auxiliary Beam Pair (ABP) tracking: at RSU crossing point the correct beam will unlikely fall into angle search interval – beam goes beyond the search space and is not recovered
- EKF-DFRC: Minimal disruption in the rate

F. Liu, W. Yuan, C. Masouros and J. Yuan, "Radar-Assisted Predictive Beamforming for Vehicular Links: Communication Served by Sensing", IEEE Trans. Wireless Commun., vol. 19, no 11, pp. 7704-7719, Nov. 2020

F. Liu and C. Masouros, "A Tutorial on Joint Radar and Communication Transmission for Vehicular Networks - Part II: State of the Art and Challenges Ahead", IEEE Commun. Lett., vol. 25, no. 2, pp. 332-336, Feb. 2021 - EiC Invited Paper

## **Numerical Results**



### Single vehicle tracking - Factor graph vs. EKF



- Better misalignment probability and angle tracking for smaller BW
- The factor graph (FC) based approach outperforms the EKF, and the comms-only feedback based techniques in angle and velocity tracking.

W. Yuan, F. Liu, C. Masouros, J. Yuan, D. W. K. Ng, N. Prelcic, "Bayesian Predictive Beamforming for Vehicular Networks: A Low-Overhead Joint Radar-Communication Approach", IEEE Trans. Wireless Comms., vol. 20, no. 3, pp. 1442-1456, March 2021



# Beam prediction for Fixed Wireless Access Links



### **Beam prediction for Fixed Wireless Access Links**



J. Zhang, C. Masouros, "Learning-Based Predictive Transmitter-Receiver Beam Alignment in Millimeter Wave Fixed Wireless Access Links", IEEE Trans Sig. Proc., *early access on IEEExplore* 28



# Joint Precoding and Channel Sparsification

**UCL** 

## **Joint Precoding and Channel Sparsification**



- Two things at once: a) channel sparsification, b) Precoding for interference exploitation
- Reduced CSI approach, close to full CSI based precoding



J. Zhang, C. Masouros, "A Unified Framework for Precoding and Pilot Design for FDD Symbol-Level Precoding", IEEE Trans Comms., under review 30



# **Further Research Directions**

- Complex problems with inaccurate modelling
- Problems with complex modelling, difficult to solve analytically

### **Net-Zero Energy Communications Energy-autonomous Portable Access-Points**

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- Renewable Sources + Energy Harvesting
- Portable Base Stations
- UAVs





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> **Innovative Training Network** Oct 2018 – Sep 2022 (€4.2m)

http://painless-itn.com/



## **Net-Zero Energy Communications** Energy-autonomous Portable Access-Points

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Learning based Solutions:

- For complex optimization problems in balancing energy harvesting vs storage vs consumption
  - To address complex modelling of batteries / photovoltaics /  $\dots$  /
- UAV trajectory optimization

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- Online trajectory adaptation based on comms / energy / navigation /... /... metrics
- Reinforcement learning approaches seem promising

## Efficient use of spectrum Integrated Sensing and Communications (ISAC)

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Learning based Solutions:

- For complex optimization problems in joint waveform design
  - To address complex joint comms radar metrics
  - Complex target / user scenarios
- Receive processing for ISAC signals
  - Joint target detection and data detection DL for complex environments

## References



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#### **Net-Zero Energy Comms**

- X. Jing, J. Sun, C. Masouros, "Energy Aware Trajectory Optimization for Aerial Base Stations", IEEE Trans. Comms, vol. 69, no. 5, pp. 3352-3366, May 2021
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- F. Liu, L. Zhou, C. Masouros, A. Li, W. Luo, A. Petropulu **"Toward Dual-functional Radar-Communication Systems: Optimal Waveform Design**", IEEE Trans. Sig. Proc., vol. 66, no. 16, pp. 4264-4279, Aug.15, 15 2018

# **Big thanks!**













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# Thank you

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