

# Adversarially (non-)Robust Machine Learning

Nicholas Carlini  
*Google*



# This Talk:





# Economic Report of the President

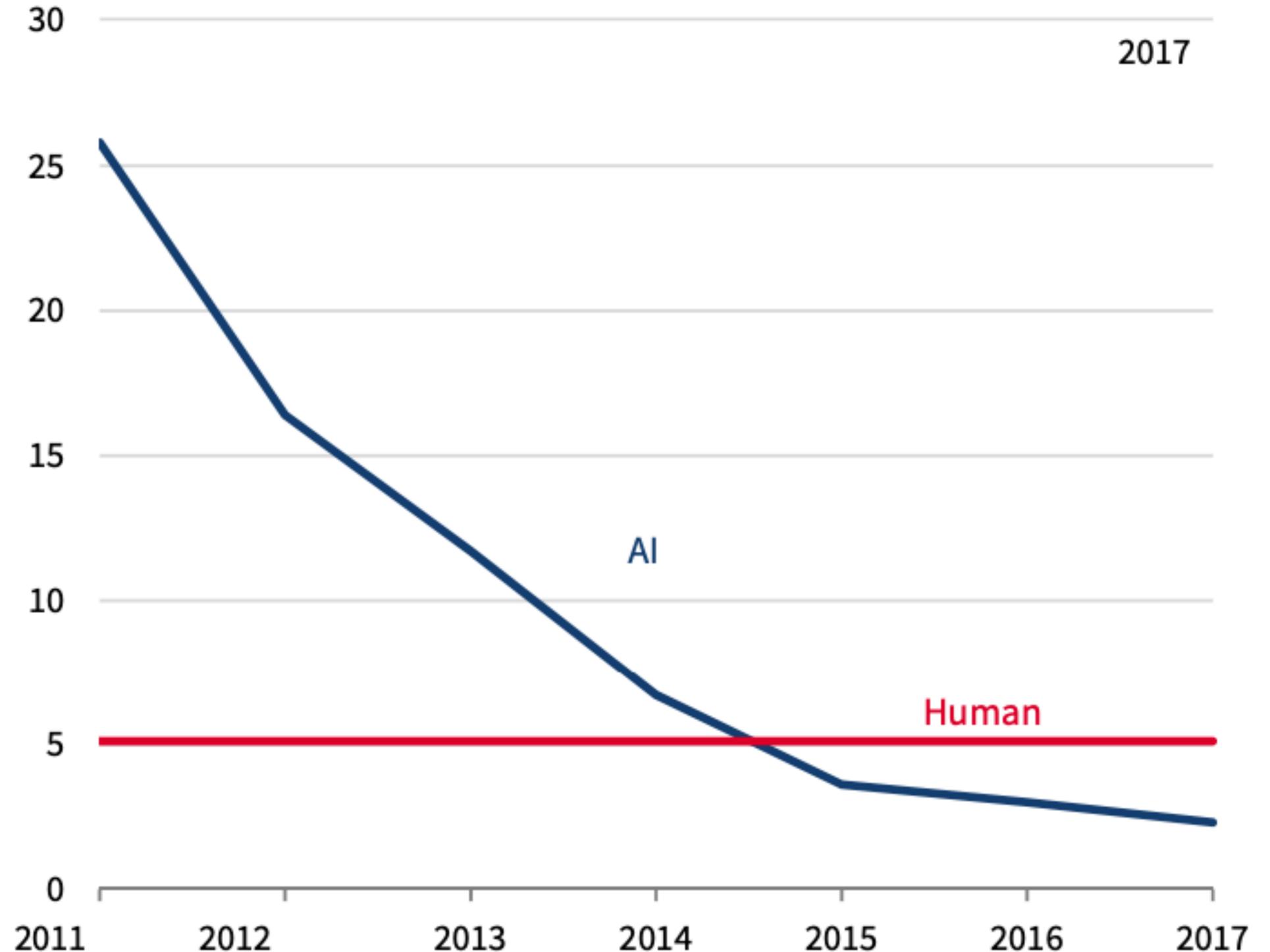
Together with  
The Annual Report  
of the  
Council of Economic Advisers

March 2019



### Figure 7-1. Error Rate of Image Classification by Artificial Intelligence and Humans, 2010–17

Error rate (percent)



Sources: Russakovsky et al. (2015); CEA calculations.

..... however



88% **tabby cat**



adversarial  
perturbation



88% **tabby cat**



adversarial  
perturbation



88% **tabby cat**



adversarial  
perturbation



88% **tabby cat**

99% **guacamole**



(a)



(b)



(c)



# Andrew Walz

2020 Congressional Candidate

*Carlini & Farid, "Evading Deepfake-Image Detectors with White- and Black-Box Attacks"*



# Andrew Walz

2020 Congressional Candidate

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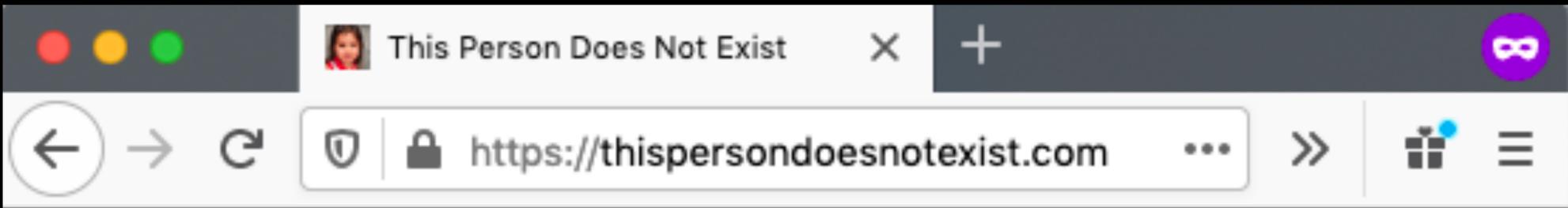


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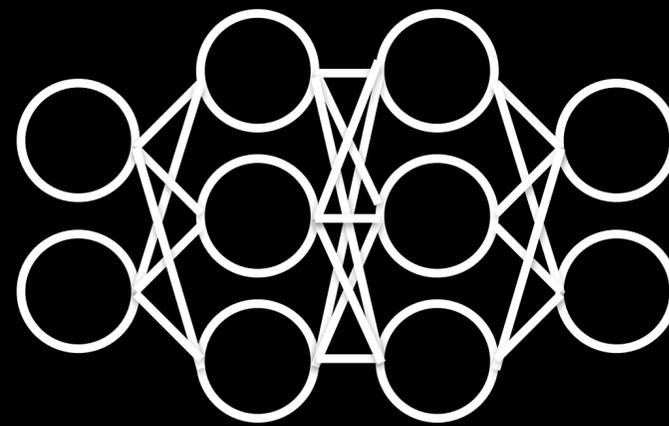


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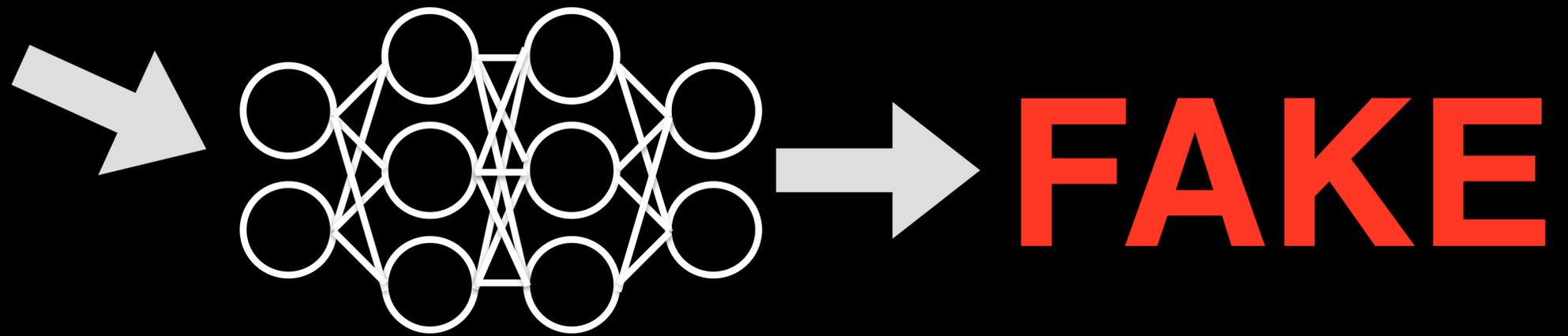


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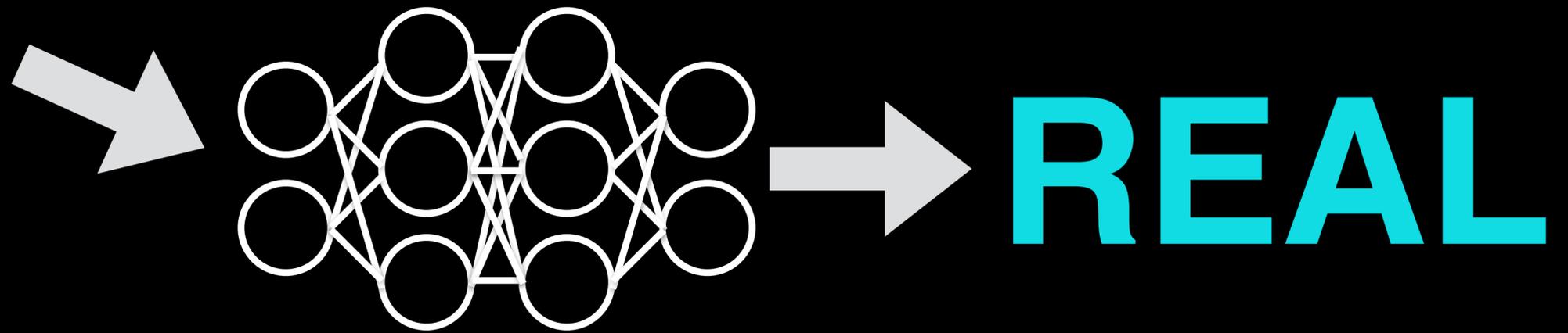


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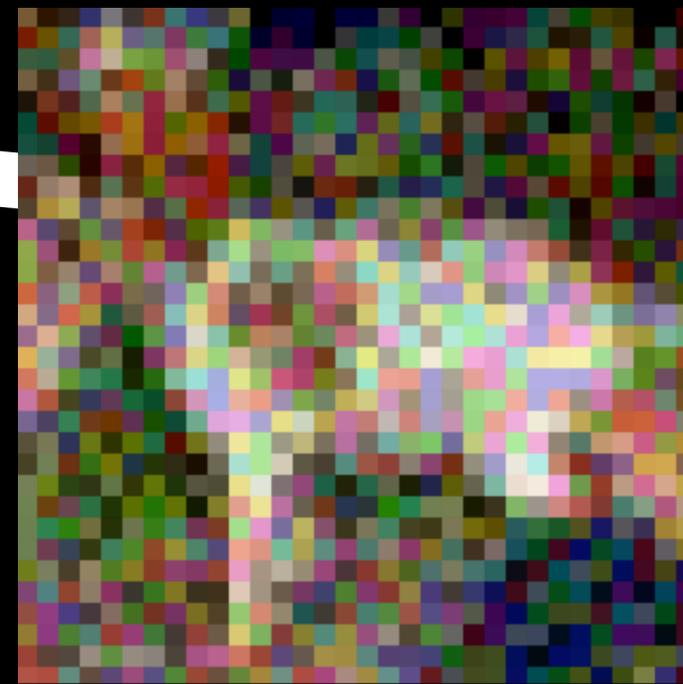


How do we generate  
adversarial examples?



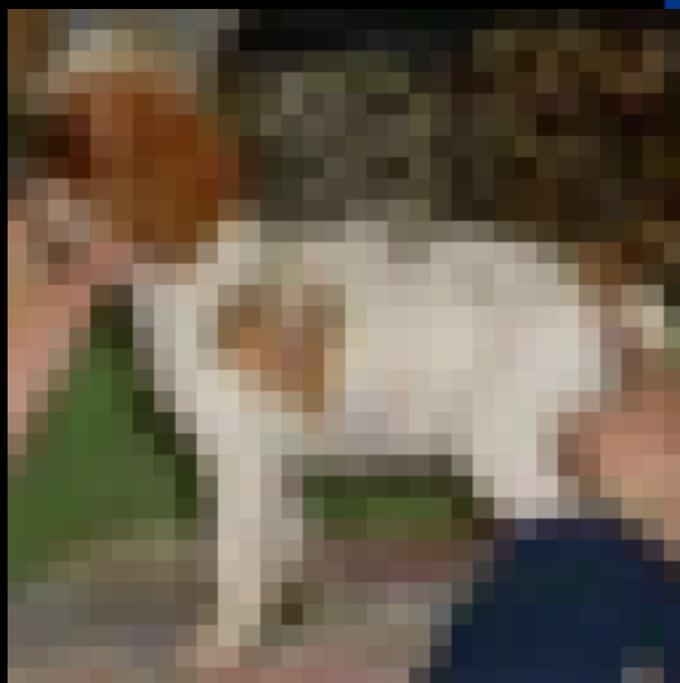
**Dog**

Random  
Direction

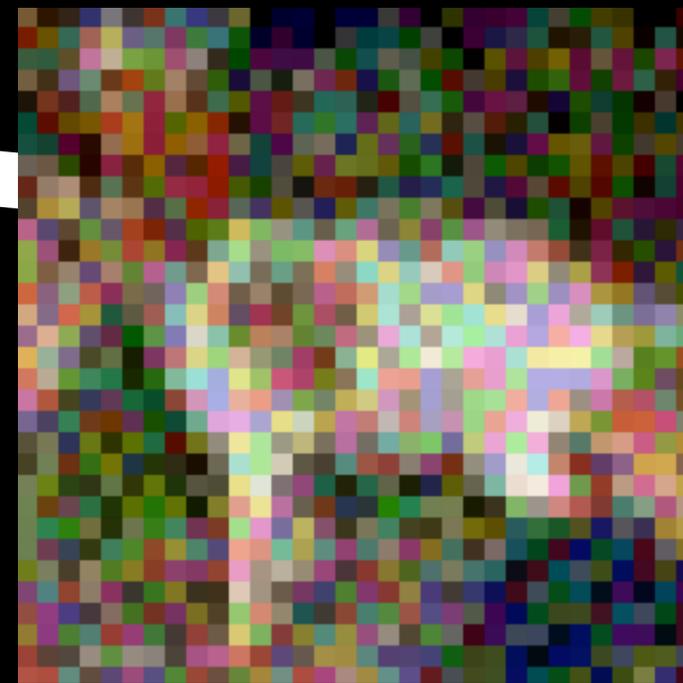
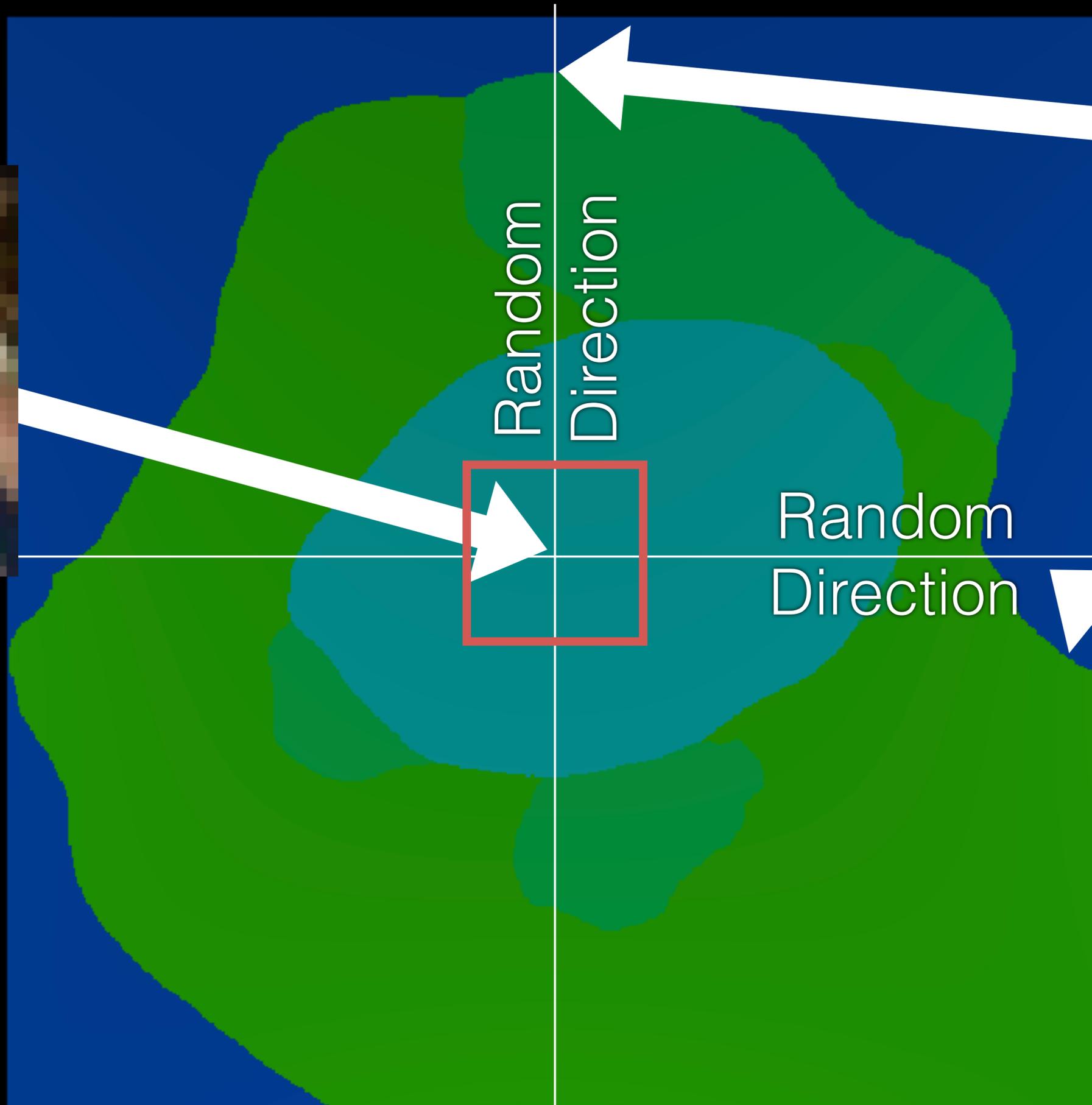


Random  
Direction



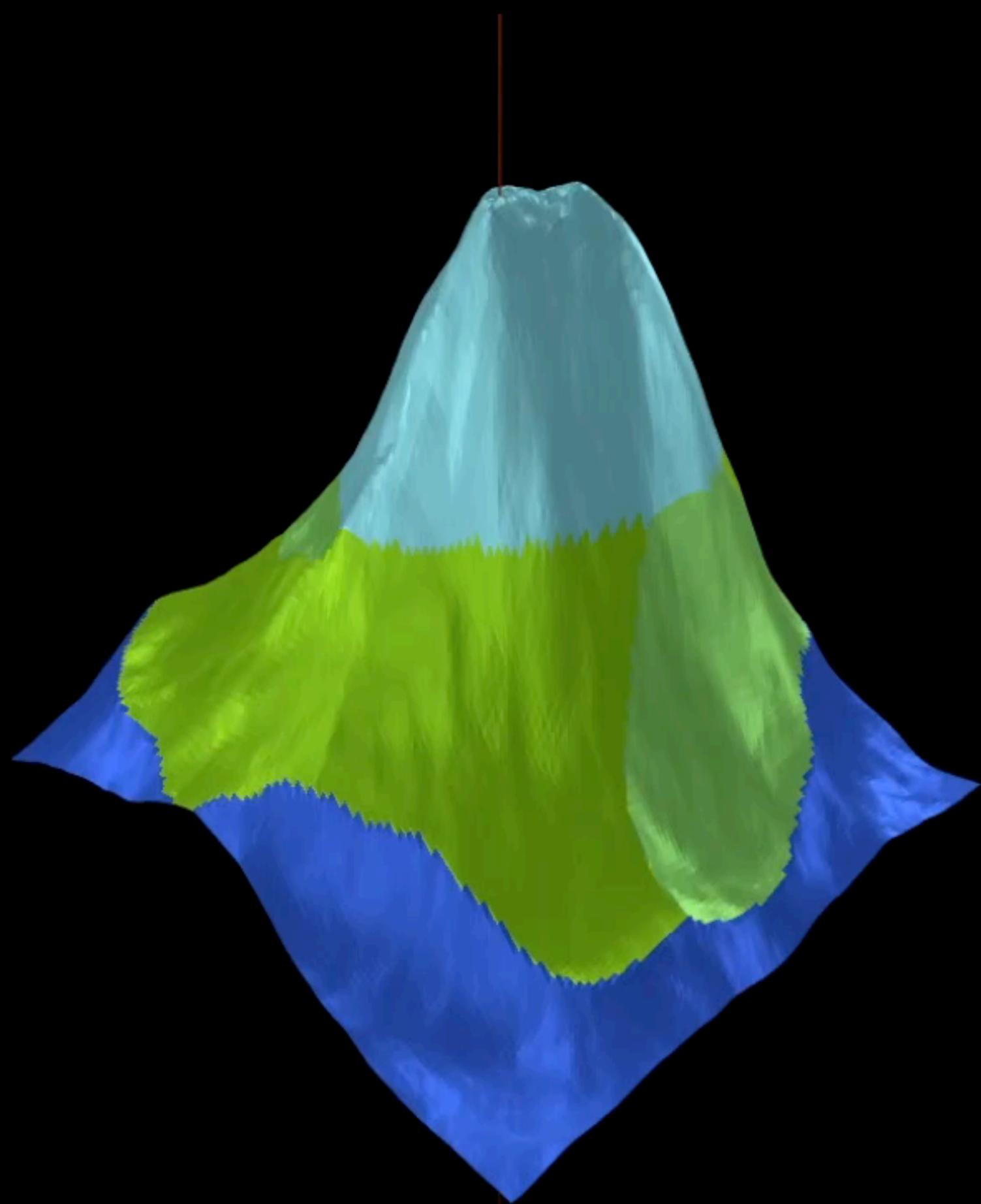


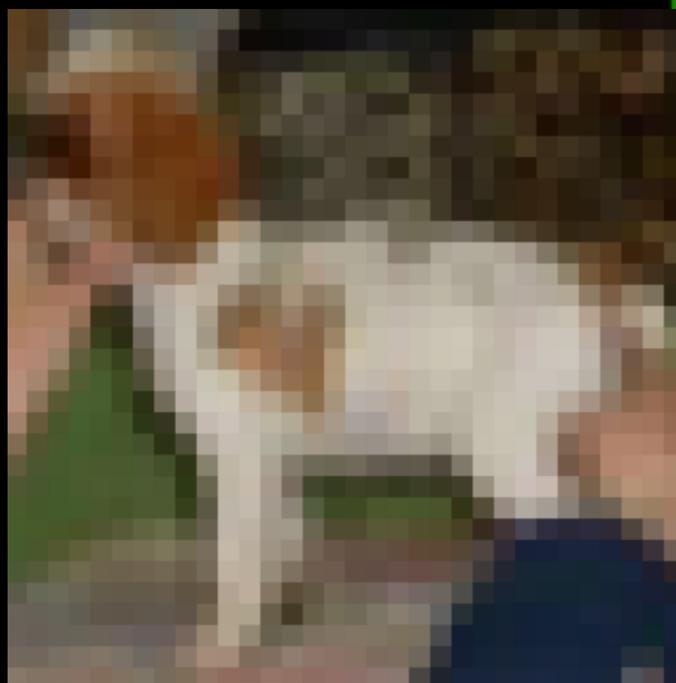
**Dog**



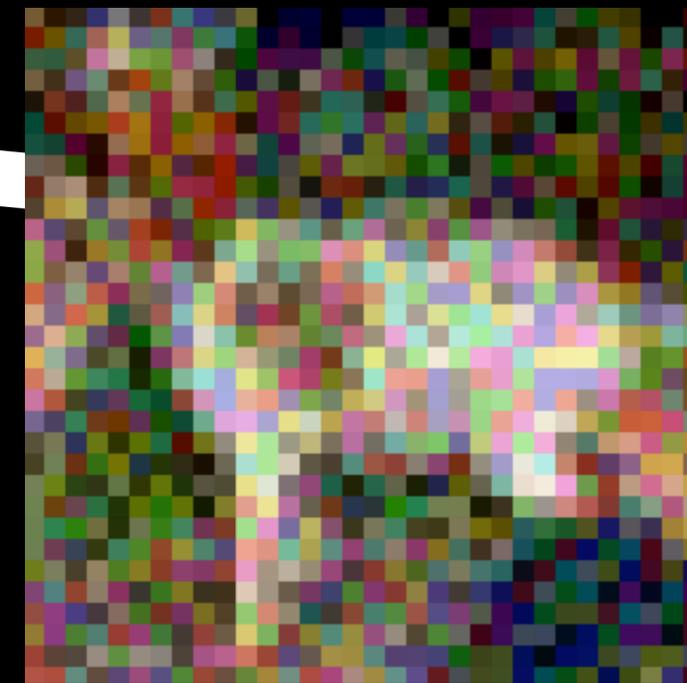
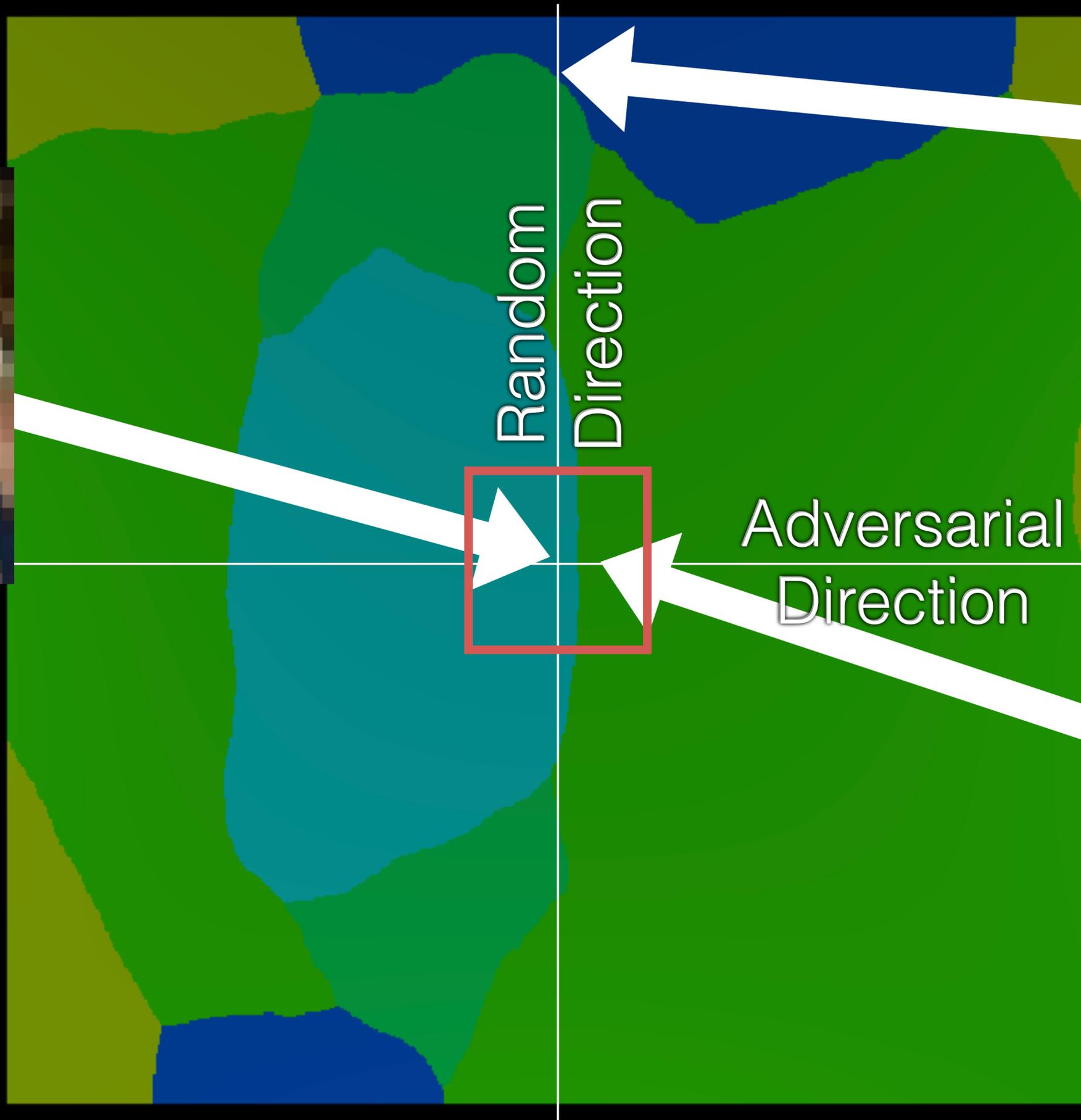
**Truck**



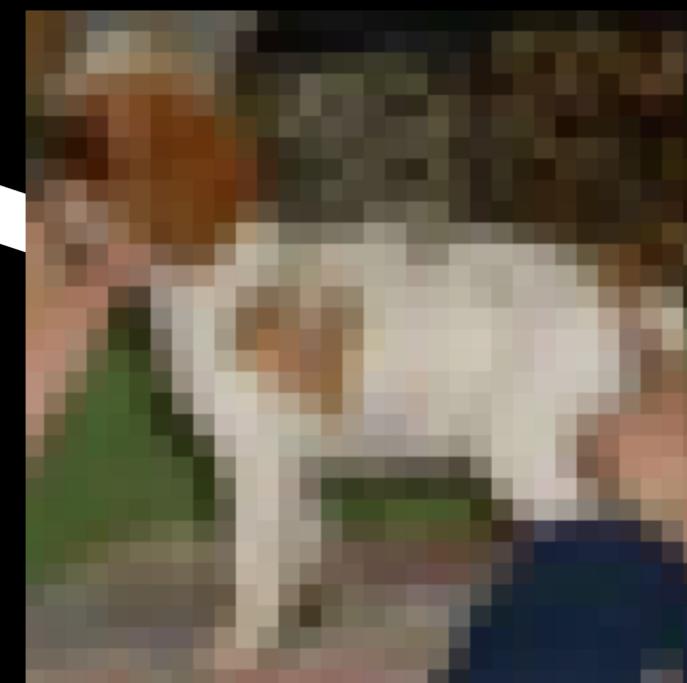




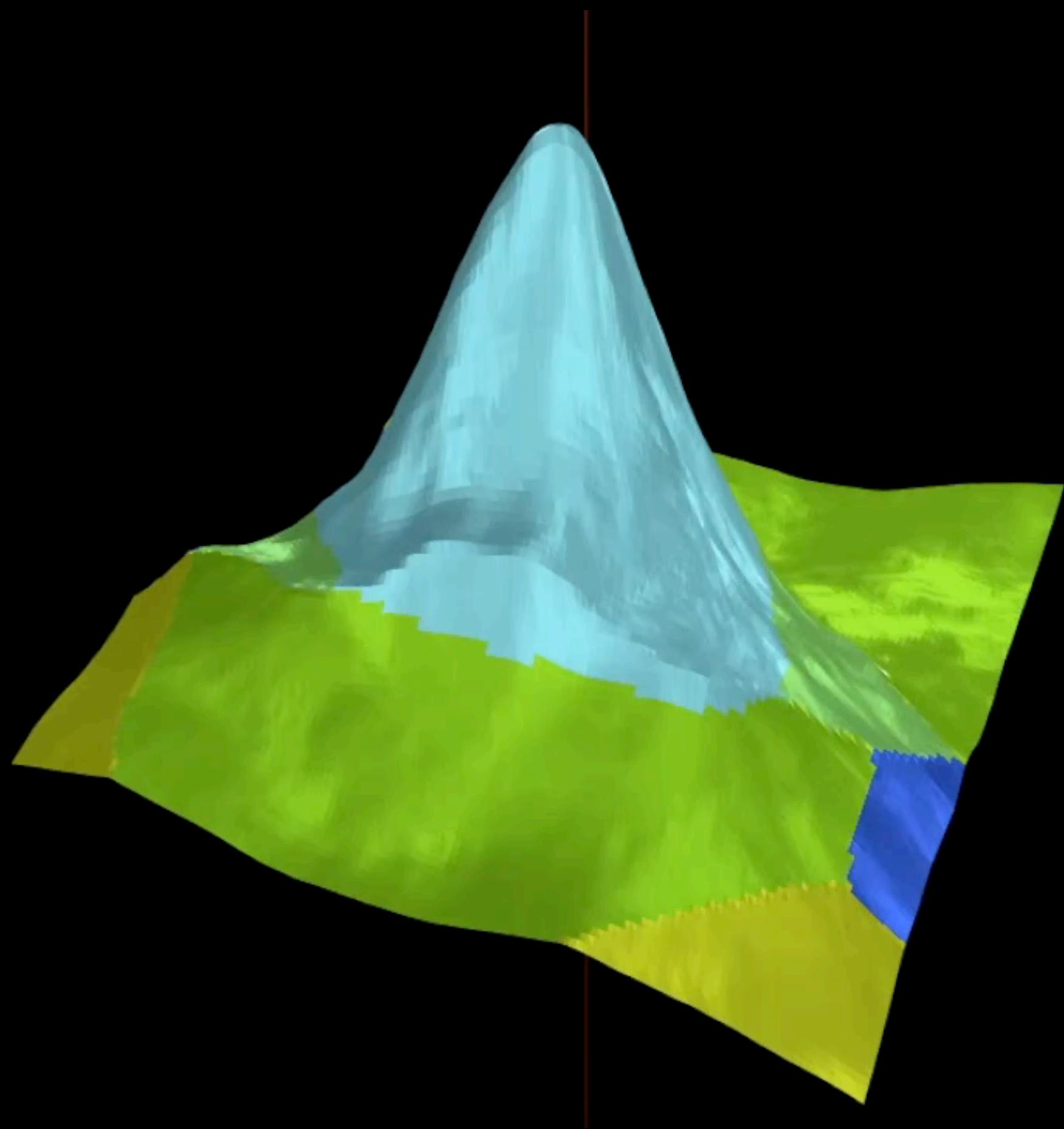
**Dog**



**Truck**

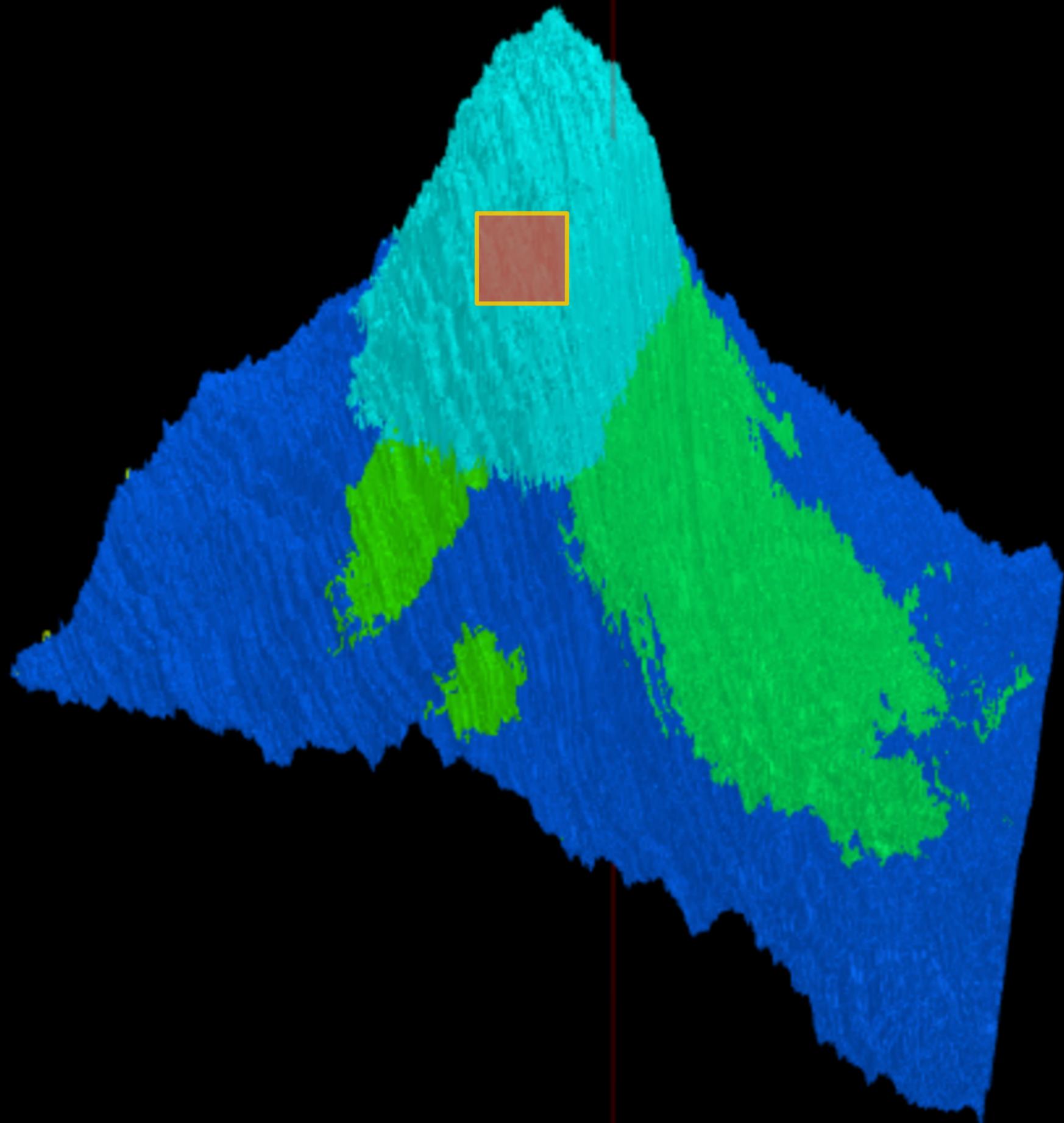


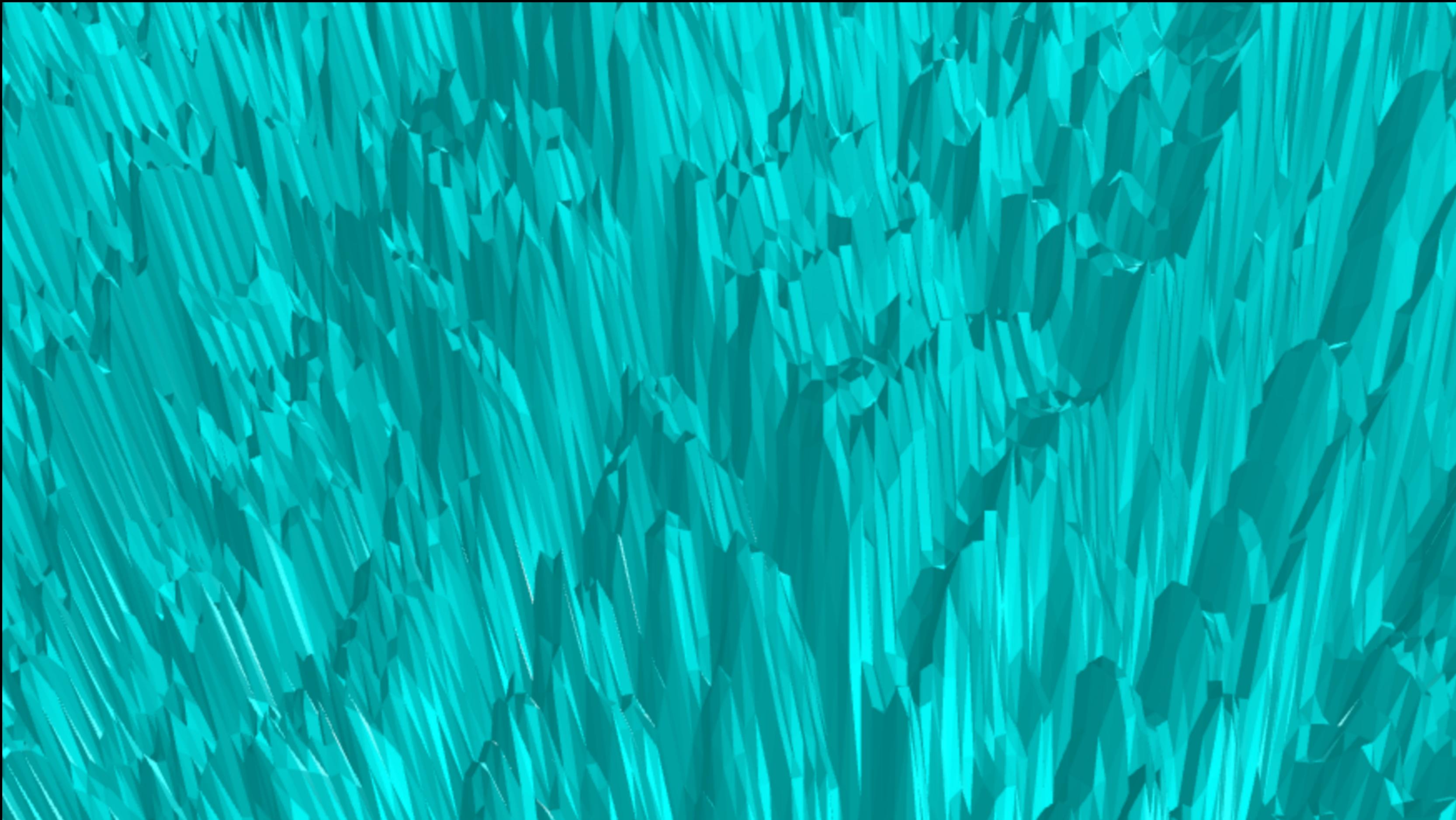
**Airplane**

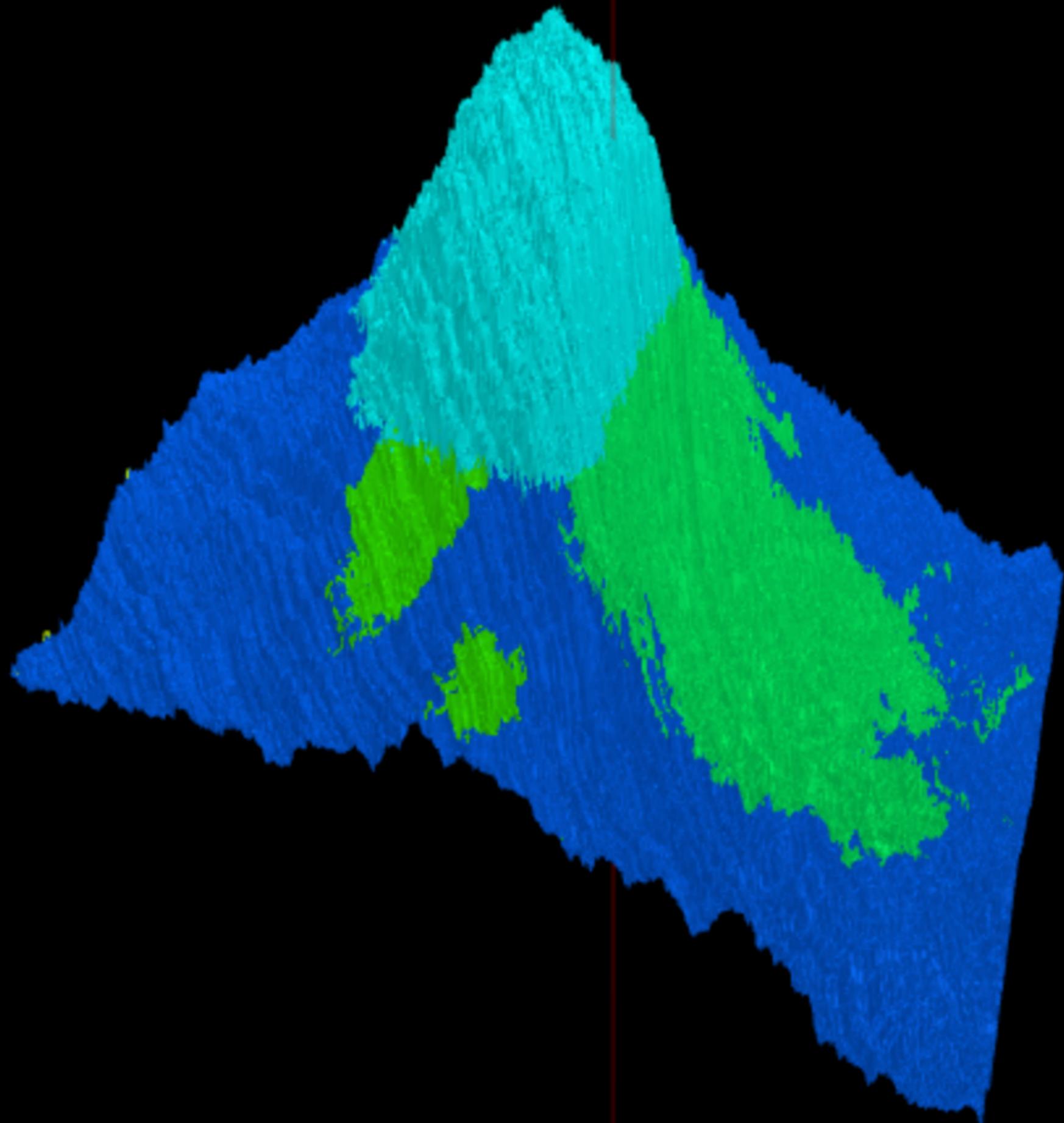


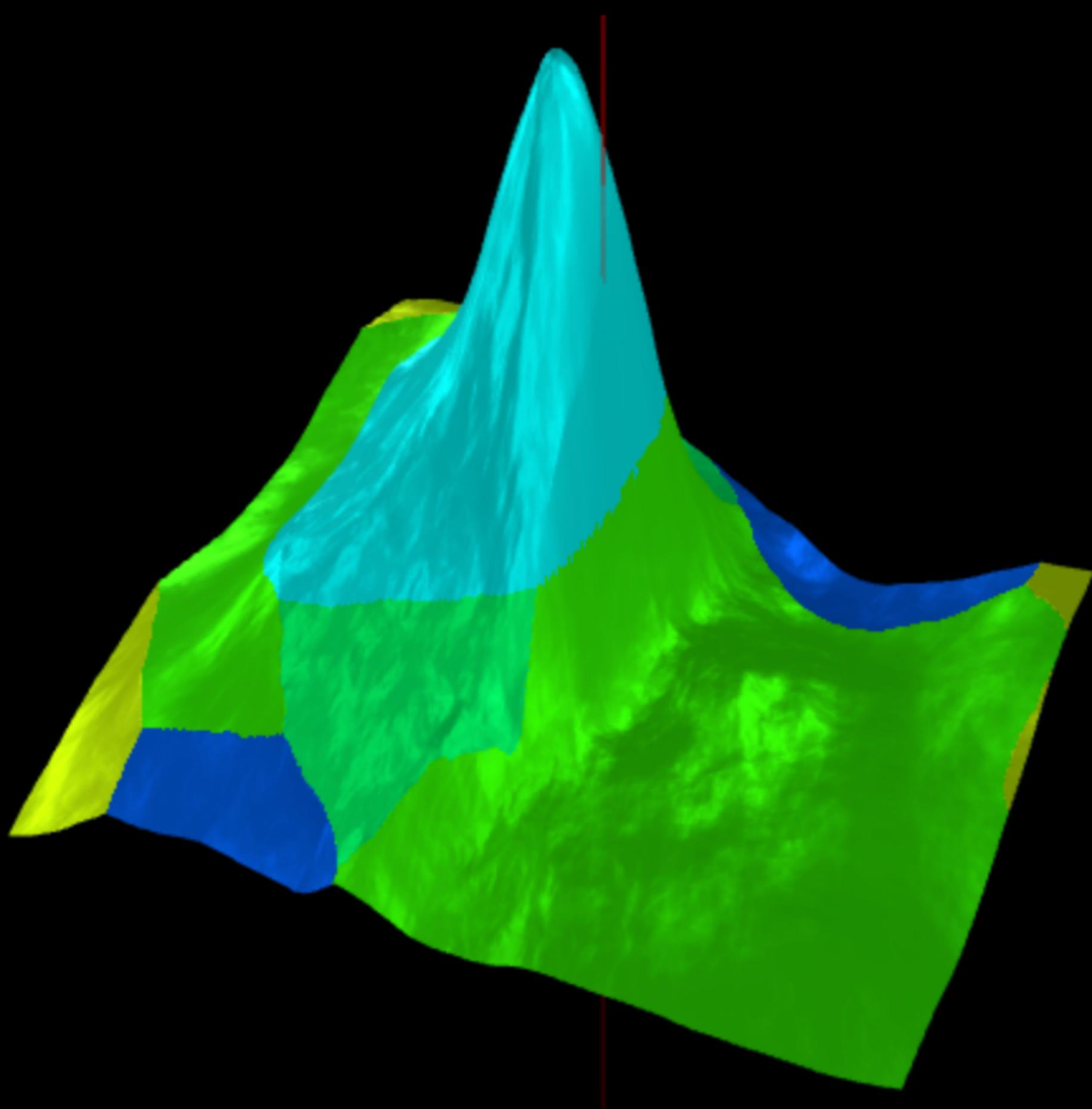
That sounds bad.

Let's defend against it....











That was 2018

How are things today?

# On Adaptive Attacks to Adversarial Example Defenses

Florian Tramèr\*  
Stanford University

Nicholas Carlini\*  
Google Brain

Wieland Brendel\*  
University of Tübingen

Aleksander Mądry  
MIT

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We evaluated 13 defenses proposed at  
(ICLR|ICML|NeurIPS) 20(18|19|20)

**All** were broken.

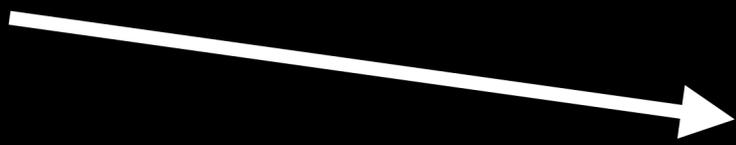
Adversarial accuracy of roughly 0%.

This is not new ...

# Defenses

# Attacks

New Idea 1



New Idea A

# Defenses

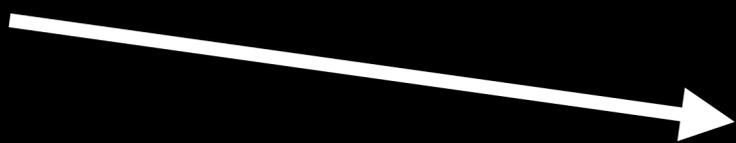
# Attacks

New Idea 1



New Idea A

New Idea 2

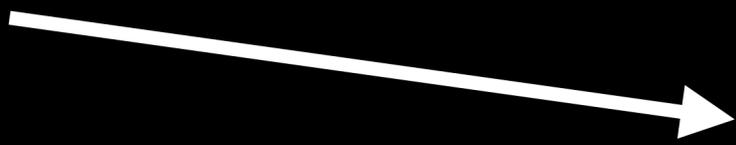


New Idea B

# Defenses

# Attacks

New Idea 1



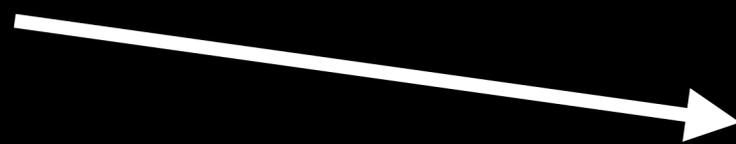
New Idea A

New Idea 2



New Idea B

New Idea 3



New Idea C

## Adversarial Examples Are Not Easily Detected: Bypassing Ten Detection Methods

### MagNet and “Efficient Defenses Against Adversarial Examples” are Not Robust to Adversarial Examples

#### ABSTRACT

Neural networks: inputs that are adversarial. In order to better survey ten recent papers, we compare their effectiveness with a new loss function that significantly hampers their performance. We find that the properties believed to make them robust are in fact not. Finally, we propose a future direction.

#### 1 INTRODUCTION

Recent years have seen a surge in research on adversarial examples for neural networks. This driving force has been demonstrated by the fact that, in 2014, Szegedy et al. [38], to beat ImageNet cars [6].

In this paper, we investigate the robustness of MagNet [1] and “Efficient Defenses Against Adversarial Examples” [2]. We find that these defenses are not robust to adversarial examples.

The research community has proposed many defenses, but we find that these defenses are not robust to adversarial examples.

Due to this, we propose a new loss function that significantly hampers their performance. We find that the properties believed to make them robust are in fact not.

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#### Abstract

MagNet and “Efficient Defenses Against Adversarial Examples” are not robust to adversarial examples.

#### 1 Introduction

It is an open question whether we can consistently detect adversarial examples.

- MagNet and “Efficient Defenses Against Adversarial Examples” are not robust to adversarial examples.
- An efficient loss function can significantly hampers their performance.
- Adversarial examples can be generated by a small  $\ell_\infty$  perturbation.

We identify a new loss function that significantly hampers their performance. We find that the properties believed to make them robust are in fact not.

#### 1. Introduction

In response to the recent surge in research on adversarial examples, we propose a new loss function that significantly hampers their performance.

### Obfuscated Gradients Give a False Sense of Security: Circumventing Defenses to Adversarial Examples

#### On the Robustness of the CVPR 2018 Winner

## Is AmI (A Measure of Interpretability) Robust to Adversarial Examples?

Neural networks are used in many applications, such as image classification and speech recognition. Adversarial examples are inputs that cause the network to misclassify. We find that AmI is not robust to adversarial examples.

#### Abstract—No.

I. ATTACKING “ATTACKS MEET INTERPRETABILITY” (AMI) (Attacks meet Interpretability) is an adversarial defense [3] to detect [1] adversarial examples. By applying interpretability to a pre-trained neural network, AmI identifies important neurons. It then creates a second augmented network with the same parameters but increases the importance of important neurons. AmI rejects inputs and augmented neural network disagree.

#### 1. Introduction

Training neural networks with adversarial examples is a common task. Two defenses that have been proposed to solve this problem: “Interpretable Deflection” (Practical Deflection) [3] and “Adversarial Attacks Denoiser” (Liao et al. [4]).

We find that this defense (presented at a spotlight paper—the top 3% of submissions) is ineffective, and even *defense-oblivious*<sup>1</sup> detection rate to 0% on untargeted attacks. We find that this defense is more robust to untargeted attacks than the vanilla defense. Figure 1 contains examples of a defense that fool the AmI defense. We are incredibly grateful to the authors for releasing their source code<sup>2</sup> which we used for our experiments. We hope that future work will continue to be published to accelerate progress.

#### A. Evaluation

## Comment on *Biologically inspired protection of deep networks from adversarial attacks*

<sup>1</sup>Werner

### ON THE LIMITATION OF LOCAL INTRINSIC DIMENSIONALITY FOR CHARACTERIZING THE SUBSPACES OF

A

## Adversarial Risk and the Dangers of Evaluating Against Weak Attacks

P  
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T

### The Efficacy of SHIELD under Different Threat Models

Paper Type: Appraisal Paper of Existing Method

Cory Cornelius  
cory.cornelius@intel.com

Nilaksh Das  
nilakshdas@gatech.edu

Shang-Tse Chen  
schen351@gatech.edu

This paper motivates the need to move beyond the current state of the art in adversarial risk evaluation and proposes a new framework for evaluating adversarial risk.

1

In response to the recent surge in research on adversarial examples, we propose a new loss function that significantly hampers their performance.

#### 1. Introduction

Deep learning and its applications in speech recognition, game playing, and other domains have shown remarkable success in recent years.

Research in this area has been particularly active in the last few years, with many new methods being proposed.

#### ABSTRACT

In this appraisal paper, we study the efficacy of SHIELD [1] against adversarial attacks at KDD 2017. We find that SHIELD is not robust to adversarial attacks. We propose a new framework for evaluating adversarial risk.

Logan Engstrom\* Andrew Ilyas\* Anish Athalye\*  
Massachusetts Institute of Technology  
{engstrom, ailyas, aathalye}@mit.edu

#### Abstract

We evaluate the robustness of Adversarial Logit Pairing, a recently proposed defense against adversarial examples. We find that a network trained with Adversarial Logit Pairing achieves 0.6% correct classification rate under targeted adversarial attack, the threat model in which the defense is considered. We provide a brief overview of the defense and the threat models/claims considered, as well as a discussion of the methodology and results of our attack. Our results offer insights into the reasons underlying the vulnerability of ALP to adversarial attack, and are of general interest in evaluating and understanding adversarial defenses.

#### 1 Contributions

For summary, the contributions of this note are as follows:

1. **Robustness:** Under the white-box targeted attack threat model specified in Kannan et al., we upper bound the correct classification rate of the defense to **0.6%** (Table 1). We also perform targeted and untargeted attacks and show that the attacker can reach success rates of 98.6% and 99.9% respectively (Figures 1, 2).

Training
Vanilla
Saturated

Table 1: A naive application of FGSM baselines.

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Today ...

# Defenses

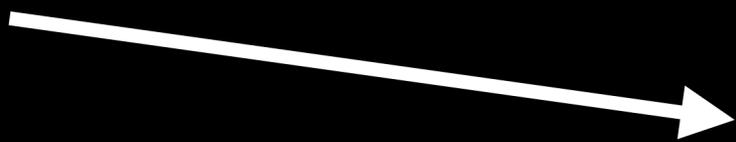
# Attacks

New Idea 1



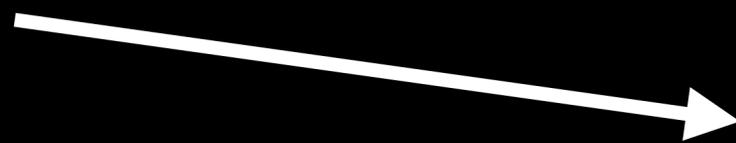
New Idea A

New Idea 2



New Idea B

New Idea 3



New Idea C

New Idea 95

# Defenses

# Attacks

New Idea 1



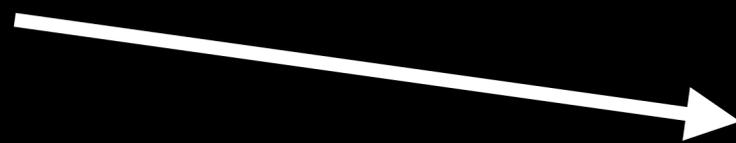
New Idea A

New Idea 2



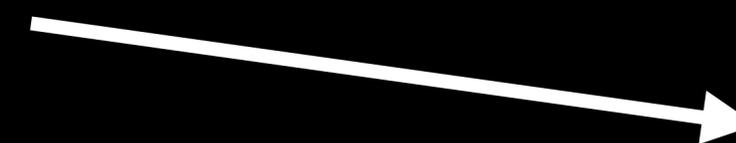
New Idea B

New Idea 3



New Idea C

New Idea 95



just reuse one

# Reviewer 3:

Another **weakness** of the paper is that **defenses are broken by existing techniques**. Indeed, at the end of the analysis, most of the defenses are broken either by using EOT, BPDA, or by tuning the parameters of existing attacks such as PGD. Some defenses are broken by using decision based attacks. **All this techniques already exist in the literature** [1,2,3,4]; hence the technical part is not novel (see also related work section).

The problem  
is methodological

for example ... one paper's attack

$$\mathcal{L}_1 = \underbrace{\mathcal{L}(h(\mathbf{x}'), \mathbf{p}^{\text{adv}})}_{\text{misclassify } \mathbf{x}' \text{ as } y_t},$$

$$\mathcal{L}_2 = \underbrace{\mathbb{E}_{\epsilon \sim N(0, \sigma^2 I)} [\|h(\mathbf{x}') - h(\mathbf{x}' + \epsilon)\|_1]}_{\text{bypass C1}},$$

$$\mathcal{L}_3 = \mathbb{E}_{y' \sim \text{Uniform}, y' \neq y_t} [\mathcal{L}(h(\mathbf{x}' - \alpha \delta_{y'}), y')],$$

$$\mathcal{L}_4 = -\mathcal{L}(h(\mathbf{x}' + \alpha \delta_{y_t}), y_t).$$

$$\mathcal{L}^* = \lambda \mathcal{L}_1 + \mathcal{L}_2 + \mathcal{L}_3 + \mathcal{L}_4.$$

for example ... one paper's attack

$$\mathcal{L}_1 = \underbrace{\mathcal{L}(h(\mathbf{x}'), \mathbf{p}^{\text{adv}})}_{\text{misclassify } \mathbf{x}' \text{ as } y_t},$$

$$\mathcal{L}_2 = \underbrace{\mathbb{E}_{\epsilon \sim N(0, \sigma^2 I)} [\|h(\mathbf{x}') - h(\mathbf{x}' + \epsilon)\|_1]}_{\text{bypass C1}},$$

$$\mathcal{L}_3 = \mathbb{E}_{y' \sim \text{Uniform}, y' \neq y_t} [\mathcal{L}(h(\mathbf{x}' - \alpha \delta_{y'}), y')],$$

$$\mathcal{L}_4 = -\mathcal{L}(h(\mathbf{x}' + \alpha \delta_{y_t}), y_t).$$

$$\mathcal{L}^* = \lambda \mathcal{L}_1 + \mathcal{L}_2 + \mathcal{L}_3 + \mathcal{L}_4.$$

for example ... our attack

$$\mathcal{L}_1 = \underbrace{\mathcal{L}(h(\mathbf{x}'), \mathbf{p}^{\text{adv}})}_{\text{misclassify } \mathbf{x}' \text{ as } y_t},$$

Not *everything*  
is broken ...

# Idea #1: Adversarial Training

*Madry et al. "Towards Deep Learning Models Resistant to Adversarial Attacks"*

# Normal Training

(7, 7)

(8, 3)

Training

# Adversarial Training (1)

(7, 7)

(8, 3)

(7, 7)

(8, 3)

Attack

# Adversarial Training (2)

(7, 7)

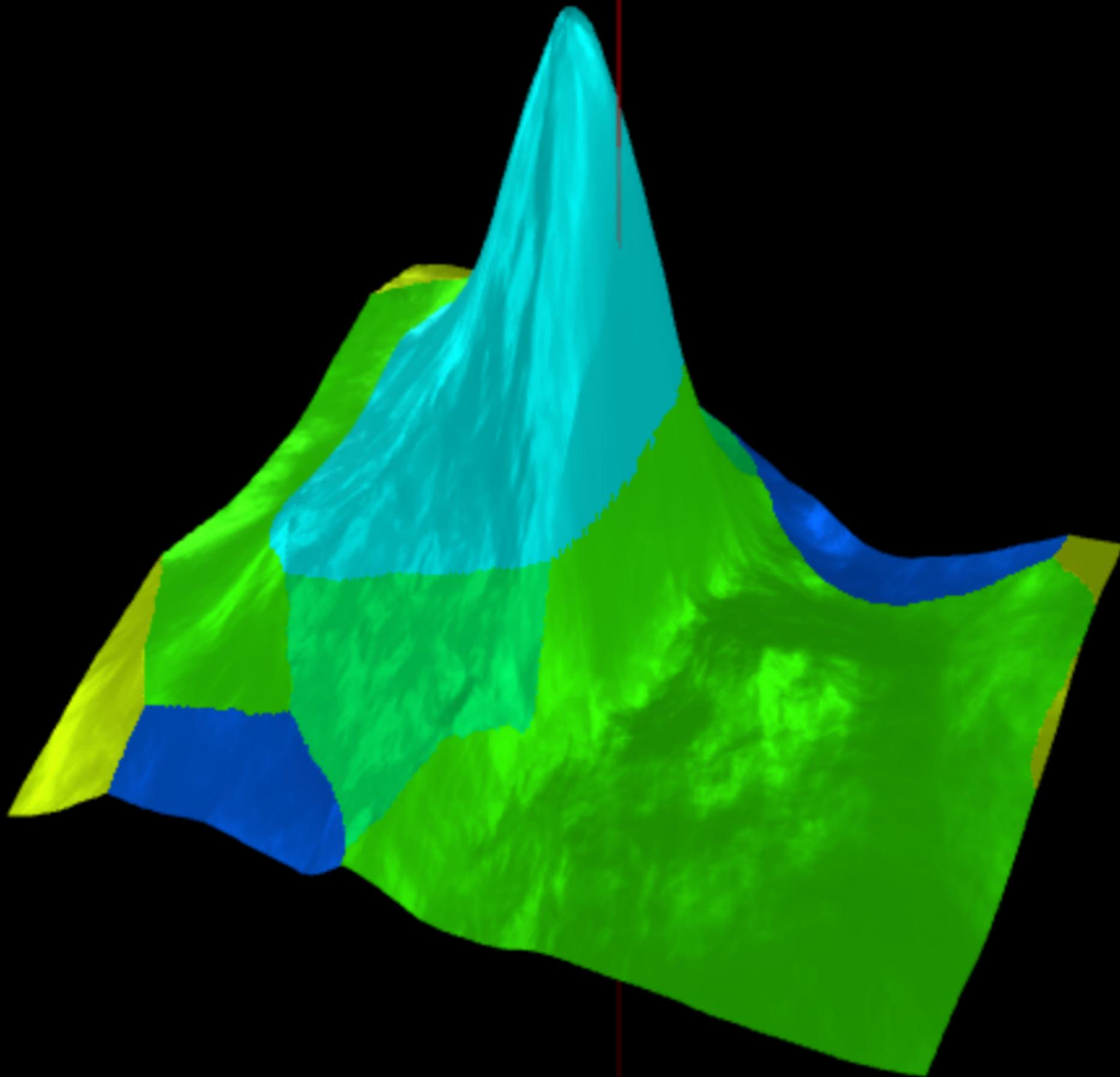
(8, 3)

(7, 7)

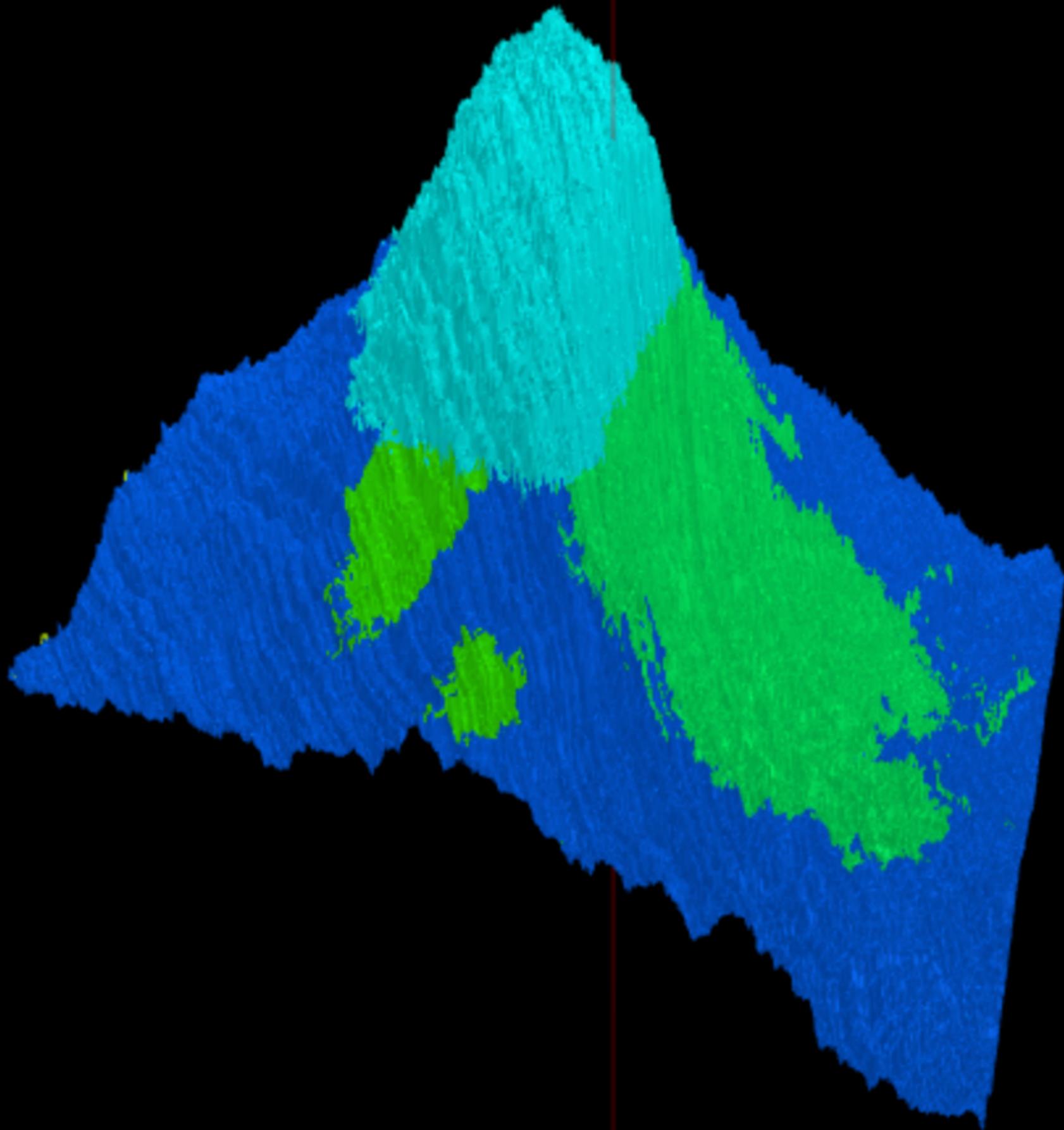
(8, 3)

Training

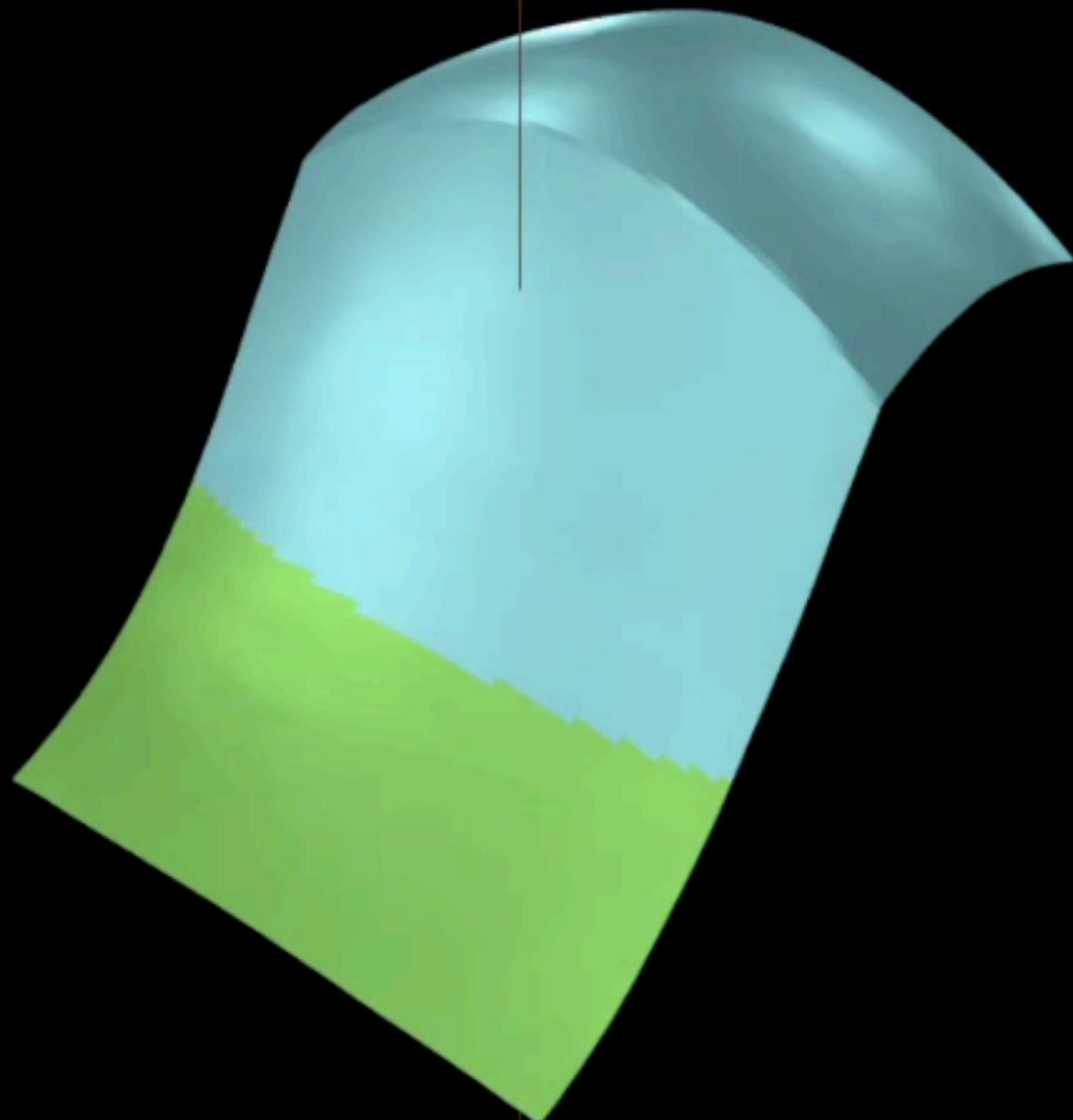
Normal  
Loss  
Surface



# Obfuscated Loss Surface

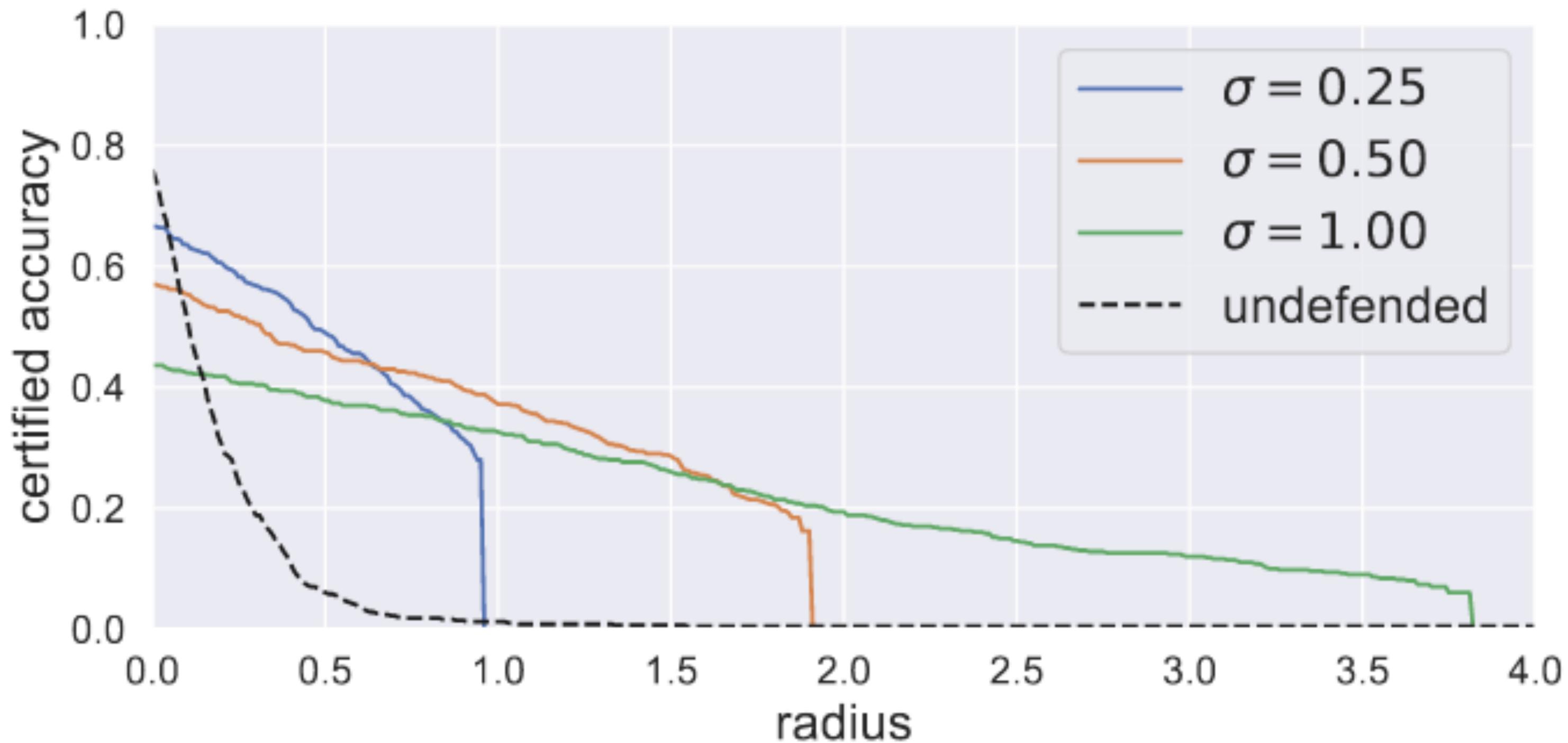


# Adversarial Training Loss Surface



Idea #2:

Certified Defenses



*Lecuyer et al. "Certified Robustness to Adversarial Examples with Differential Privacy"*  
*Cohen et al. "Certified Adversarial Robustness via Randomized Smoothing"*



What's

next?



**The Year is 1997**

# Cryptanalysis of the Cellular Message Encryption Algorithm

## Related-Key Cryptanalysis of 3-WAY, Biham-DES, CAST, DES-X, NewDES, RC2, and TEA

### Cryptanalysis of some recently-proposed multiple modes of operation

{k

### Differential cryptanalysis of KHF

### Cryptanalysis of TWOPRIME

Don Coppersmith<sup>1</sup>, David Wagner<sup>2</sup>, Bruce Schneier<sup>3</sup>, and J

<sup>1</sup> IBM Research, e-mail: copper@watson.ibm.com

<sup>2</sup> U.C. Berkeley, e-mail: daw@cs.berkeley.edu

<sup>3</sup> Counterpane Systems, e-mail: {schneier,kelsey}@counte

**Abstract.** Ding et al [DNRS97] propose a stream generator several layers. We present several attacks. First, we observe non-surjectivity of a linear combination step allows us to recover the key with minimal effort. Next, we show that the various insufficiently mixed by these layers, enabling an attack similar to two-loop Vigenere ciphers to recover the remainder of the key. (these techniques lets us recover the entire TWOPRIME key. (the generator to produce  $2^{33}$  blocks ( $2^{35}$  bytes), or 19 hours of output, of which we examine about one million blocks ( $2^{23}$  computational workload can be estimated at  $2^{28}$  operations set of attacks trades off texts for time, reducing the amount of plaintext needed to just eight blocks (64 bytes), while needing  $2^{32}$  space. We also show how to break two variants of TW presented in the original paper.

### 1 Introduction

# Cryptanalysis of SPEED

## Cryptanalysis of FROG

### Cryptanalysis of ORYX

D.

### The boomerang attack

### Slide Attacks

Alex Biryukov\* David Wagner\*\*

**Abstract.** It is a general belief among the designers of block-ciphers that even a relatively weak cipher may become very strong if its number of rounds is made very large. In this paper we describe a new generic known- (or sometimes chosen-) plaintext attack on product ciphers, which we call the *slide attack* and which in many cases is independent of the number of rounds of a cipher. We illustrate the power of this new tool by giving practical attacks on several recently designed ciphers: TREYFER, WAKE-ROFB, and variants of DES and Blowfish.

### 1 Introduction

As the speed of computers grows, fast block ciphers tend to use more and more rounds, rendering all currently known cryptanalytic techniques useless. This is mainly due to the fact that such popular tools as differential [1] and linear analysis [13] are statistic attacks that excel in pushing statistical irregularities and biases through surprisingly many rounds of a cipher. However any such approach finally reaches its limits, since each additional round requires an exponential effort from the attacker.

This tendency towards a higher number of rounds can be illustrated if one looks at the candidates submitted to the AES contest. Even though one of the main criteria of the AES was speed, several prospective candidates (and not the slowest ones) have really large numbers of rounds: RC6(20), MARS(32)

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**Back to (the future)**

# Biclique Cryptanalysis of the Full AES

Andrey Bogdanov\*, Dmitry Khovratovich, and Christian Rechberger\*

K.U. Leuven, Belgium; Microsoft Research Redmond, USA; ENS Paris and Chaire France Telecom, France

**Abstract.** Since Rijndael was chosen as the Advanced Encryption Standard, improving upon 7-round attacks on the 128-bit key variant or upon 8-round attacks on the 192/256-bit key variants has been one of the most difficult challenges in the cryptanalysis of block ciphers for more than a decade. In this paper we present a novel technique of block cipher cryptanalysis with bicliques, which leads to the following results:

- The first key recovery attack on the full AES-128 with computational complexity  $2^{126.1}$ .
- The first key recovery attack on the full AES-192 with computational complexity  $2^{189.7}$ .
- The first key recovery attack on the full AES-256 with computational complexity  $2^{254.4}$ .
- Attacks with lower complexity on the reduced-round versions of AES not considered before, including an attack on 8-round AES-128 with complexity  $2^{124.9}$ .
- Preimage attacks on compression functions based on the full AES versions.

In contrast to most shortcut attacks on AES variants, we *do not need to assume related-keys*. Most of our attacks only need a very small part of the codebook and have small memory requirements, and are practically verified to a large extent. As our attacks are of high computational complexity, they do not threaten the practical use of AES in any way.

**Keywords:** block ciphers, bicliques, AES, key recovery, preimage

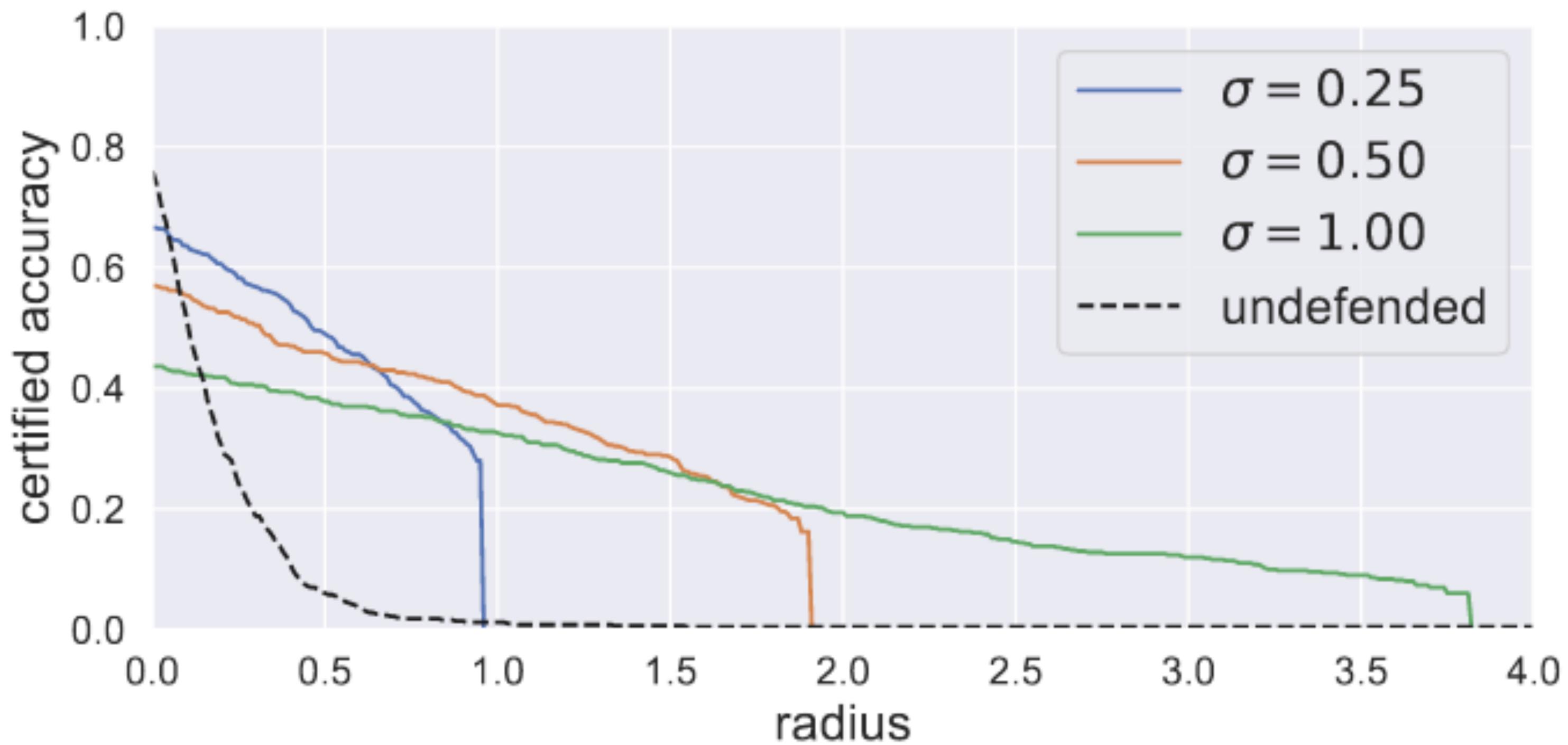


Are we crypto in the 90's?

Maybe not.

Three reasons.

Reason 1.



# Attack Success Rates in Security

*Evans, "Is "adversarial example" an adversarial example?"*

# Attack Success Rates in Security

Crypto:  $2^{-128}$

*Evans, "Is "adversarial example" an adversarial example?"*

# Attack Success Rates in Security

Crypto:  $2^{-128}$ , broken if  $2^{-127}$

*Evans, "Is "adversarial example" an adversarial example?"*

# Attack Success Rates in Security

Crypto:  $2^{-128}$ , broken if  $2^{-127}$

Systems:  $2^{-32}$

# Attack Success Rates in Security

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Crypto:  $2^{-128}$ , broken if  $2^{-127}$

Systems:  $2^{-32}$ , broken if  $2^{-20}$

Machine Learning:

*Evans, "Is "adversarial example" an adversarial example?"*

# Attack Success Rates in Security

Crypto:  $2^{-128}$ , broken if  $2^{-127}$

Systems:  $2^{-32}$ , broken if  $2^{-20}$

Machine Learning:  **$2^{-1}$**

*Evans, "Is "adversarial example" an adversarial example?"*

# Attack Success Rates in Security

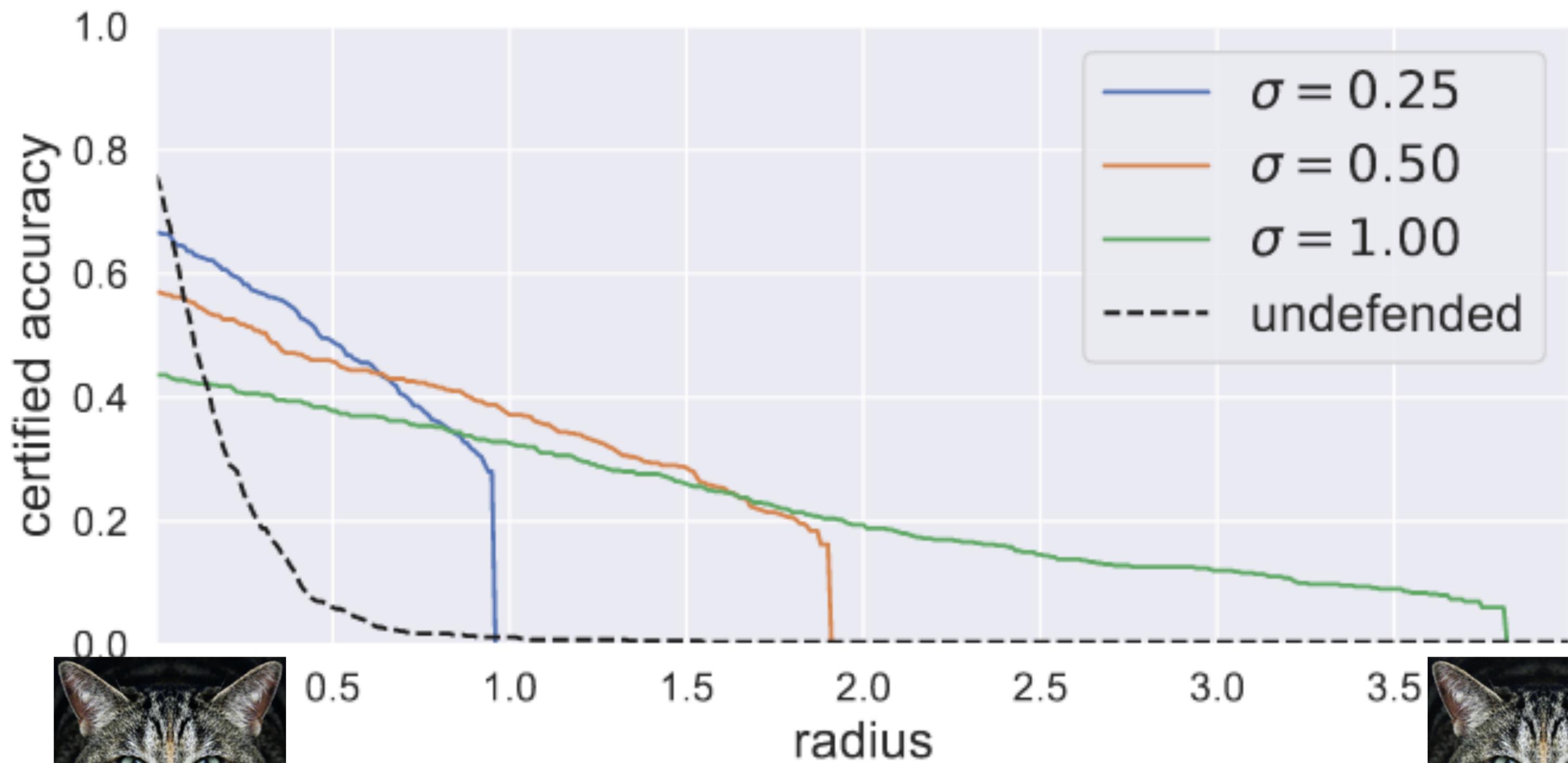
Crypto:  $2^{-128}$ , broken if  $2^{-127}$

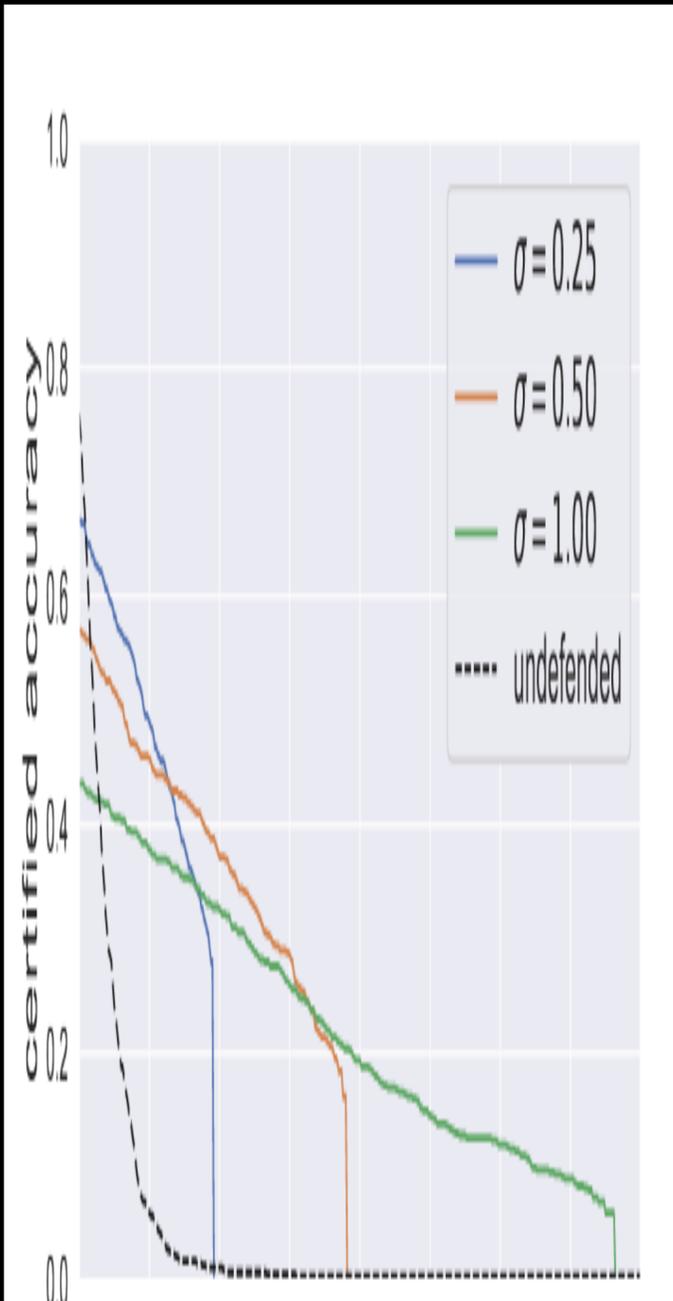
Systems:  $2^{-32}$ , broken if  $2^{-20}$

Machine Learning:  **$2^{-1}$** , broken if  **$2^0$**

*Evans, "Is "adversarial example" an adversarial example?"*

Reason 2.





$L_2 = 100$





Original



$L_2$  distortion: 75



$L_2$  distortion: 75

Claim:

We are crypto **pre**-Shannon

Reason 3.

It's not just  
adversarial shifts ...

# Do ImageNet Classifiers Generalize to ImageNet?

Benjamin Recht\*  
UC Berkeley

Rebecca Roelofs  
UC Berkeley

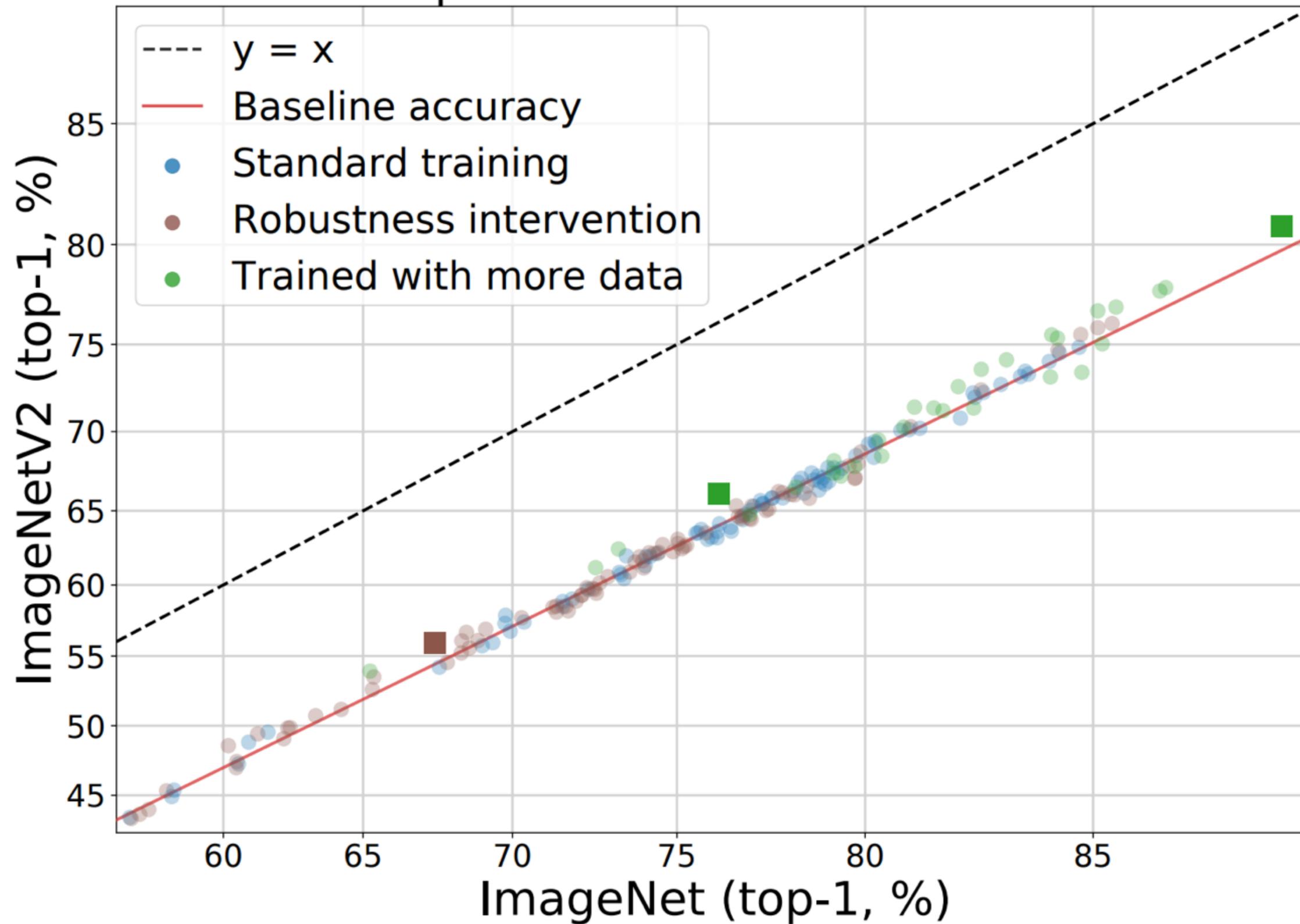
Ludwig Schmidt  
UC Berkeley

Vaishaal Shankar  
UC Berkeley

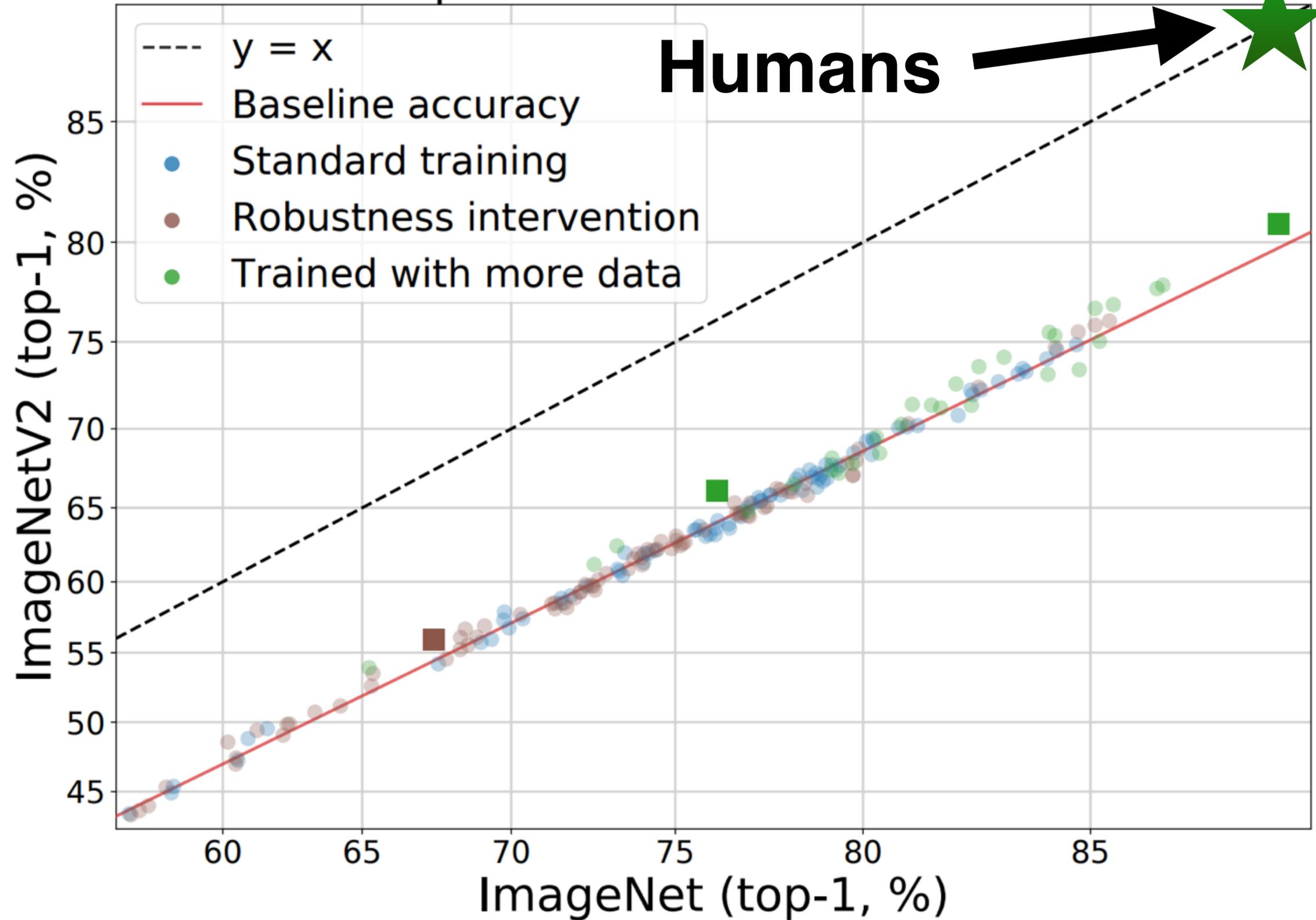
## Abstract

We build new test sets for the CIFAR-10 and ImageNet datasets. Both benchmarks have been the focus of intense research for almost a decade, raising the danger of overfitting to excessively re-used test sets. By closely following the original dataset creation processes, we test to what extent current classification models generalize to new data. We evaluate a broad range of models and find accuracy drops of 3% – 15% on CIFAR-10 and 11% – 14% on ImageNet. However, accuracy gains on the original test sets translate to larger gains on the new test sets. Our results suggest that the accuracy drops are not caused by adaptivity, but by the models’ inability to generalize to slightly “harder” images than those found in the original test sets.

# Simplified Distribution Shift Plot



# Simplified Distribution Shift Plot



Conclusion

We've come a long way towards understanding adversarial robustness.

We still have a long way to go.